

Humidtropics CRP-Commissioned External Evaluation

Final Report – Annexes 7.1 – 9.0



Photos by CCEE Team: Collage of Pictures on Cassava Trials and Value-Addition by the Kalambo Youth in the DRC Field Sites in the East and Central Africa Flagship.

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A. Annexes for Main Report:

Annex 7.1: Detailed Evaluation Questions (Excerpt from the Evaluation ToR)

Evaluation Criteria (Section 4.4 of ToR)

The CCEE will address the following evaluation criteria: relevance, efficiency, quality of research, and effectiveness through a set of evaluation questions, which will be mostly developed during the inception phase. A tentative list of evaluation questions is given below. These will be refined and further elaborated during the inception phase by the CCEE Team in consultation with the Humidtropics Executive Office and relevant stakeholders.

4.4.1 Relevance

Coherence

- Is Humidtropics strategically coherent and consistent with the CGIAR SRF including its crosscutting gender and capacity development priorities?
- What is the rationale for and coherence between Humidtropics Flagship Projects?
- How are the different sources and types of funding influencing the Program's coherence?

Comparative advantage

- What is the additional value that Humidtropics delivers to the CGIAR research portfolio that enhances its capacity to deliver relevant International Public Goods that lead to impact?
- Is the assumption that Humidtropics through its support to developing systems actors' capacities to innovate and for women and youth to participate lead to better results and impact than other research programs and suppliers plausible?
- Does Humidtropics as a knowledge-based network, especially in relation to the 11 core partners, play an appropriate role as global leader and facilitator in integrated systems research compared to individual partners and other research suppliers?

Program design

- Do the overarching Theory of Change and Impact Pathway translate into more specific site-relevant Theories of Change and Impact Pathway(s) that take into account gender, youth, and trade-offs between multiple objectives?
- Do the Flagship Projects and clusters of activities target an appropriate set of and/ or make reasonable assumptions about the results of other actors' work to achieve objectives?
- Have Humidtropics research activities been adequately prioritized in line with resource availability and needs?
- Has gender been adequately considered in research design in terms of relevance to and effect on women and youth?
- To what extent do capacity development efforts address systems actors' needs taking into account the differential needs of men and women of different generations?
- To what extent are the three levels of partnerships relevant to achieve Program objectives?



4.4.2 Efficiency

- Are Humidtropics institutional arrangements, partnerships and management and governance mechanisms efficient and effective and consider gender mainstreaming adequately?
- To what extent have the reformed CGIAR organizational structures and processes increased (or decreased) efficiency and successful Program implementation?
- Is the level of collaboration and coordination with other CGIAR Research Programs appropriate and efficient for reaching maximum synergies and enhancing partner capacity?
- Is the monitoring and evaluation system adequate and efficient for recording and enhancing Humidtropics processes, progress, and achievements disaggregated by gender and age?

4.4.3 Quality of Research

- Do the research design, problem setting and choice of approaches reflect high quality and up to date thinking, state-of the-art knowledge and novelty in the areas of research?
- Is it evident that the Program builds on the latest scientific thinking and research results?
- Are the internal processes and conditions, including research staff, leadership quality, and gender considerations adequate for assuring research quality?
- Are the research outputs, such as methods, tools, approaches, and publications of high quality?
- Are negative as well as positive findings documented and disseminated?

4.4.4 Effectiveness

- Is the integrated systems approach potentially more effective in leading to impact at scale?
- How to assess the effectiveness of multiple social and technical systems leading to positive results that in practice compete for scarce resources?
- To what extent have planned outputs and outcomes been achieved or are likely to be achieved? Why? Why not?
- What are the prospects for sustaining financing, for example, for long-term research programs and key partnerships?
- Are the partnerships chosen and managed to improve effectiveness?
- Have gender and generations been adequately considered in the Impact Pathway, generating equitable benefits for both women and men and enhancing the overall likelihood enhancing the livelihoods of women?

Annex 7.2: Detailed Online Survey Responses

| Survey Title: Humidtropics CCEE | | | | | | | | |
|---|---------|----------|----------|--------|-------------|---------|-----|------|
| Report Type: Bar Graph | | | | | | | | |
| Start Date: 1-Aug-15 | | | | | | | | |
| End Date: 12-Aug-15 | | | | | | | | |
| Invitations Sent: 262 | | | | | | | | |
| Delivered: 260 | | | | | | | | |
| Bounced: 2 Completed Responses: 129 | | | | | | | | |
| Unique Access Response Rate: 49.62% | | | | | | | | |
| Incomplete Responses: 5 | | | | | | | | |
| Incomplete responses included in this report: (|) | | | | | | | |
| | | | | | | | | |
| Q1. What is your sex? | | | | | | | | |
| Responses | Count | % | Percent | tage o | of total re | sponder | its | |
| Female | 30 | 23.26% | | | | | | |
| Male | 98 | 75.97% | | | | | | |
| Do not want to share | 0 | 0% | | | | | | |
| (Did not answer) | 1 | 0.78% | | | | | | |
| Total Responses | 129 | | 20 |)% | 40% | 60% | 80% | 100% |
| Q2. Please select the organization you | work | for from | the list | | | | | |
| Responses | Count | % | Percent | tage o | of total re | sponder | Its | |
| IITA | 30 | 23.26% | | | | | | |
| CIAT | 15 | 11.63% | | | | | | |
| WUR | 4 | 3.10% | | | | | | |
| icipe | 3 | 2.33% | | | | | | |
| AVRDC-The World Vegetable Center | 8 | 6.20% | | | | | | |
| ICRAF | 12 | 9.30% | | | | | | |
| Bioversity International | 13 | 10.08% | | | | | | |
| ILRI | 15 | 11.63% | | | | | | |
| IWMI | 6 | 4.65% | | | | | | |
| CIP | 3 | 2.33% | | | | | | |
| | 2 | 1.55% | | | | | | |
| FARA | 2 | 1.0070 | | | | | | |
| FARA Other (Please specify) | 2 18 | 13.95% | | | | | | |
| | | | | | | | | |



Q.3: Open – Ended Questions

- a) Please indicate your home institution
- b) What is your job title within the home Organization?

.....

| Q4. Which of the following options would best describe you | | | | | | ion? | | | |
|--|----------|----------|-------|-------|-------|----------|-----------|------------|------|
| Responses | Count | % | Perc | entag | je of | total re | esponder | nts | |
| Director/Team Leader/Manager | 31 | 24.03% | | | | | | | |
| Principal Investigator/Senior Scientist | 24 | 18.60% | | | | | | | |
| Scientist | 41 | 31.78% | | | | | | | |
| Post-doc / Research fellows | 12 | 9.30% | | | | | | | |
| Other scientific and support staff | 21 | 16.28% | | | | | | | |
| (Did not answer) | 0 | 0% | | | | | | | |
| Total Responses | 129 | | | 20% | | 40% | 60% | 80% | 100% |
| Q5. In which countries do you curren | tly work | for Hu | midtr | opics | ;? se | lect as | many as | apply. | |
| Responses | Count | % | Perc | entag | je of | total re | esponder | nts | |
| Nicaragua | 16 | 12.40% | | | | | | | |
| Haiti/Dominican Republic | 6 | 4.65% | | | | | | | |
| Ghana | 22 | 17.05% | | | | | | | |
| Cameroon | 19 | 14.73% | | | | | | | |
| Nigeria | 17 | 13.18% | | | | | | | |
| Ivory Coast | 12 | 9.30% | | | | | | | |
| Kenya | 34 | 26.36% | | | | | | | |
| Uganda | 30 | 23.26% | | | | | | | |
| Rwanda | 24 | 18.60% | | | _ | | | | |
| Burundi | 21 | 16.28% | | | | | | | |
| Ethiopia | 23 | 17.83% | | | | | | | |
| DR Congo | 26 | 20.16% | | | | | | | |
| Lao PDR | 9 | 6.98% | | | | | | | |
| Vietnam | 26 | 20.16% | | | | | | | |
| Cambodia | 6 | 4.65% | | | | | | | |
| SW China | 11 | 8.53% | | | | | | | |
| Myanmar | 0 | 0% | | | | | | | |
| Other (Please specify) | 16 | 12.40% | | | | | | | |
| (Did not answer) | 0 | 0% | | - | | | | | |
| Total Responses | 318 | | | 20% | | 40% | 60% | 80% | 100% |
| Multiple answers per participant possible | e. Perce | ntages a | addeo | d may | exce | ed 100 | since a p | articipant | may |

-

select more than one answer for this question.



Q6. Total sum question needs to total to 100%: Can you please indicate below the proportion of your time (whole number) allocated to the Humidtropics Flagship Projects, other CRPs and other commitments.

| commitments. | |
|----------------------------------|---------|
| Responses | Average |
| 1. Crosscutting | 11.05 |
| 2. East and Central Africa | 26.83 |
| 3. West Africa | 9.60 |
| 4. Central Mekong | 9.82 |
| 5. Central America and Caribbean | 3.20 |
| 6. Other CRPs | 17.43 |
| 7. Other commitments | 22.07 |
| (Did not answer) | 0 |
| Total Responses | 129 |

Q7. The majority of the time that I currently dedicate to Humidtropics activities is spent doing which of the following?

| - | . . | 1 | |
|--|------------|----------|--|
| Responses | Count | % | Percentage of total respondents |
| Creating a partnership of institutions to realize tangible improvements in agriculture related activities of rural populations in the humid tropics | 69 | 53.49% | |
| Conducting research in agro- ecosystems to clarify innovations for scaling-up by family farmers | 62 | 48.06% | |
| Ensuring that women and youth are equally included in future development activities | 30 | 23.26% | |
| Conducting research that will optimize sustainable income and productivity of farm families | 63 | 48.84% | |
| Promoting program engagement and ownership among government decision makers to increase the likelihood of supportive policies and future scaling up of farmer adoption | 21 | 16.28% | |
| Creating baselines of information to guide interventions and serve as a reference points for impact analysis | 41 | 31.78% | |
| Creating and implementing monitoring, evaluation, and reporting systems | 26 | 20.16% | |
| Keeping track of budget and related expenditure processes | 22 | 17.05% | |
| Other (Please specify) | 23 | 17.83% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 357 | | 20% 40% 60% 80% 100% |
| Multiple answers per participant possible select more than one answer for this que | | ntages a | added may exceed 100 since a participant may |



Q8. In the countries where you work, to what extent are national or sub-national government officials familiar with work being conducted by Humidtropics partners at the field level? Choose one of the following options:

| the of the following options. | | | | | |
|-------------------------------|-------|--------|---------------------------------|--|--|
| Responses | Count | % | Percentage of total respondents | | |
| Not aware | 1 | 0.78% | | | |
| Somewhat aware | 56 | 43.41% | | | |
| Very aware | 52 | 40.31% | | | |
| Don't know | 20 | 15.50% | | | |
| (Did not answer) | 0 | 0% | | | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% | | |

Q9. Please select below what best reflects your level of agreement with the following statement: The approach of freshly appraising constraints and opportunities for smallholder farmers enables Humidtropics initiatives to efficiently and effectively identify relevant interventions to improve livelihoods?

| Responses | Count | % | Percentage of total respondents |
|--|-------|--------|---------------------------------|
| Yes, this process is exceptionally efficient and effective for achieving intended results () | 19 | 14.73% | |
| Yes, this process is generally efficient and effective | 60 | 46.51% | |
| Yes, this process is effective, but is often slow and inefficient | 33 | 25.58% | |
| No, the process is not worth the effort; there are better approaches | 3 | 2.33% | |
| Neutral or do not know | 14 | 10.85% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |

Q10. Please select below what best reflects your level of agreement with the following statement: Humidtropics' efforts to promote agricultural diversification and integration of high value crops (fruit, vegetables, industrial crops) and / or livestock into farming systems helps smallholder farmers to increase income without taking on excessive risks to their food security.

| Responses | Count | % | Percentage of total respondents |
|-------------------|-------|--------|---------------------------------|
| Strongly Disagree | 6 | 4.65% | |
| Disagree | 4 | 3.10% | |
| Neutral | 18 | 13.95% | |
| Agree | 68 | 52.71% | |
| Strongly Agree | 33 | 25.58% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |



Q11. Please choose one of the options below to complete the following sentence: The Humidtropics emphasis on farm management to increase and maintain soil quality ...

| numutopics emphasis on farm mana | gemen | | rease and maintain son quanty |
|--|-------|--------|---------------------------------|
| Responses | Count | % | Percentage of total respondents |
| strikes just the right balance between short and long term benefits | 46 | 35.66% | |
| generally strikes the right balance, but has a bit too much focus on short term considerations | 16 | 12.40% | |
| generally strikes the right balance, but has a bit too much focus on long-term benefits at the expense of short term productivity | 16 | 12.40% | |
| does not receive adequate attention | 17 | 13.18% | |
| is not something that I have knowledge or an opinion about | 34 | 26.36% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |

Q12. Please select below what best reflects your level of agreement with the following statement: New technologies, innovations and farm management techniques promoted through Humidtropics are rigorously tested at research stations prior to encouraging farm-level testing.

| Responses | Count | % | Percentage of total respondents |
|--|-------|--------|---------------------------------|
| Yes, extensive trials are conducted for several years on research stations before farmer involvement | 24 | 18.60% | |
| Most technologies / innovations and techniques under evaluation start with on-farm testing at the start | 41 | 31.78% | |
| Most technologies / innovations and techniques under evaluation emerged from innovative farmers in the region | 18 | 13.95% | |
| New technologies / innovations anf techniques that are risk- and resource- demanding are tested on-farm but with risk sharing by Humidtropics | 10 | 7.75% | |
| Neutral or do not know | 36 | 27.91% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |



Q13: Open Ended Question:

List some of the processes by which farmers contribute to multiple stages of a research project.

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Q14. Please select below what best reflects your level of agreement with the following statement: Humidtropics gives a lot of attention to constraints such as weed control, pest and disease control, difficulties in field preparation, and high labor demands of farm activities.

| Responses | Count | % | Percentage of total respondents |
|--|-------|--------|---------------------------------|
| Yes, these constraints are central to design of research activities | 34 | 26.36% | |
| Yes, these constraints are given some attention is given, but still more is required | 48 | 37.21% | |
| Not nearly enough attention is given to these constraints | 21 | 16.28% | |
| Neutral or do not know | 26 | 20.16% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |

Q15. Please select below what best reflects your level of agreement with the following statement: Humidtropics addresses high labor demands on smallholder farmers through research on appropriate mechanization and other labor-reducing strategies.

| Responses | Count | % | Percentage of total respondents |
|--|-------|--------|---------------------------------|
| Mechanization and other labor-reducing strategies are given ample attention in Humidtropics research | 16 | 12.40% | |
| Labor reduction is given some attention | 41 | 31.78% | |
| Little or no attention is given to labor reduction strategies | 33 | 25.58% | |
| Neutral or do not know | 39 | 30.23% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |

Q16. Please select below what best reflects your level of agreement with the following statement: Humidtropics has emphasized forming robust partnerships with a broad spectrum of stakeholders, including multiple levels of government; policy and technical institutions; NGOs; and private sector / value chain actors.

| Responses | Count | % | Percentage of total respondents | | | | | |
|---|-------|--------|---|--|--|--|--|--|
| Yes, partnerships actively engage a broad spectrum of stakeholders | 46 | 35.66% | | | | | | |
| Yes, partnerships are robust, but there is scope for broader representation of stakeholder groups | | 20.16% | | | | | | |
| Partnerships do engage stakeholders, but much more engagement is needed | 31 | 24.03% | | | | | | |
| Partnerships have been established, but tangible actions and outcomes have been too few | 23 | 17.83% | | | | | | |
| No, robust partnerships have not been established | 3 | 2.33% | | | | | | |
| (Did not answer) | 0 | 0% | | | | | | |
| Total Responses | 129 | | 20%¹ 40%¹ 60%¹ 80%¹ 100% | | | | | |

Q17. Please select below what best reflects your level of agreement with the following statement: In the geographies where I work, there is only weak government research and extension capacity



| so Humidtropics partnerships are prin | o Humidtropics partnerships are primarily with NGOs and the private sector. | | | | | | | | |
|---|---|--------|---------------------------------|--|--|--|--|--|--|
| Responses | Count | % | Percentage of total respondents | | | | | | |
| Yes government is not able to be a Humidtropics partner | 3 | 2.33% | | | | | | | |
| Yes government is a relatively minor Humidtropics partner with low capacity and / or motivation | 51 | 39.53% | | | | | | | |
| No, government is an active Humidtropics partner with key roles in planning and initiating research and development activities | 63 | 48.84% | | | | | | | |
| Neutral or do not know | 12 | 9.30% | | | | | | | |
| (Did not answer) | 0 | 0% | | | | | | | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% | | | | | | |

Q18. Please select below what best reflects your level of agreement with the following statement: Humidtropics partners have a clear understanding that the program is designed to be a catalyst for partnership-based innovation and interventions which requires shared leadership by participants in R4D and Innovation Platforms.

| Responses | Count | % | Percentage of total respondents |
|--|-------|--------|---------------------------------|
| Yes, partners understand Humidtropics objectives, capacities, and limitations as well as their own leadership roles | 23 | 17.83% | |
| Yes, most partners understand their own leadership roles, but expect more support from the Humidtropics program | | 36.43% | |
| A few partners have embraced leadership roles, but most partners are in a responsive mode and expect leadership by the Humidtropics program | 32 | 24.81% | |
| Leadership by partners is limited | 16 | 12.40% | |
| Neutral or do not know | 11 | 8.53% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |

Q19. Please select below what best reflects your level of agreement with the following statement: Empowerment of women and youth has been emphasized in planning and implementation of Humidtropics initiatives.

| Responses | Count | % | Percentage of total respondents |
|---|-------|--------|---------------------------------|
| Yes, efforts toward empowerment of women and youth have been very strong | 28 | 21.71% | |
| Yes, real efforts toward empowerment of women and youth have been made, but there is scope for greater emphasis | | 41.86% | |
| A few efforts toward empowerment of women and youth have been made, but much more is needed | 43 | 33.33% | |
| No, there is no meaningful focus on gender and youth | 4 | 3.10% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |

Q20. Please select below what best reflects your level of agreement with the following statement: Humidtropics has empowered youth to engage in information-intensive, remunerative activities



| in the agriculture sector such as seed | n the agriculture sector such as seed production or value addition of agricultural products. | | | | | | | | |
|--|--|--------|---------------------------------|--|--|--|--|--|--|
| Responses | Count | % | Percentage of total respondents | | | | | | |
| Yes, there has been strong focus on empowering youth to engage in information-intensive, remunerative agriculture sector activities | 14 | 10.85% | | | | | | | |
| There has been some effort to empower youth to engage in information-intensive, remunerative agriculture sector activities | 47 | 36.43% | | | | | | | |
| Humidtropics priorities are too numerous to allow significant focus on youth empowerment | | 27.13% | | | | | | | |
| Neutral, or do not know | 33 | 25.58% | | | | | | | |
| (Did not answer) | 0 | 0% | | | | | | | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% | | | | | | |

Q21. Please select below what best reflects your level of agreement with the following statement: Humidtropics research and associated extension activities are well coordinated and grounded in proven yet innovative techniques.

| Responses | Count | % | Percentage of total respondents |
|-------------------|-------|--------|---------------------------------|
| Strongly Disagree | 4 | 3.10% | |
| Disagree | 12 | 9.30% | |
| Neutral | 38 | 29.46% | |
| Agree | 61 | 47.29% | |
| Strongly Agree | 14 | 10.85% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |

Q22. Please select below what best reflects your level of agreement with the following statement: Humidtropics research that is underway is likely to shape farmers' choices and sustainably impact livelihoods in the next 10 years.

| Responses | Count | % | Percentage of total respondents |
|-------------------|-------|--------|---------------------------------|
| Strongly Disagree | 3 | 2.33% | |
| Disagree | 8 | 6.20% | |
| Neutral | 28 | 21.71% | |
| Agree | 63 | 48.84% | |
| Strongly Agree | 27 | 20.93% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |



Q23. Please select below what best reflects your level of agreement with the following statement: Too much money is spent on research that could be better spent on extension and dissemination of what is already known.

| Responses | Count | % | Percentage of total respondents | | | ts | | |
|-------------------|-------|--------|---------------------------------|----|-----|-----|-----|------|
| Strongly Disagree | 20 | 15.50% | | | | | | |
| Disagree | 54 | 41.86% | | | | | | |
| Neutral | 17 | 13.18% | | | | | | |
| Agree | 28 | 21.71% | | | | | | |
| Strongly Agree | 10 | 7.75% | | | | | | |
| (Did not answer) | 0 | 0% | | | | | | |
| Total Responses | 129 | | 2 | 0% | 40% | 60% | 80% | 100% |

Q24. Please select below what best reflects your level of agreement with the following statement: The project management and administration of Humidtropics Flagship Projects are effective and efficient.

| Responses | Count | % | Percentage of total respondents |
|-------------------|-------|--------|---------------------------------|
| Strongly Disagree | 9 | 6.98% | |
| Disagree | 21 | 16.28% | |
| Neutral | 38 | 29.46% | |
| Agree | 50 | 38.76% | |
| Strongly Agree | 11 | 8.53% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |

Q25. Please select below what best reflects your level of agreement with the following statement: Government research and extension agencies are well represented and participate actively in our area-based Flagship Projects.

| Responses | Count | % | Percentage of total respondents |
|-------------------|-------|--------|---------------------------------|
| Strongly Disagree | 5 | 3.88% | |
| Disagree | 14 | 10.85% | |
| Neutral | 36 | 27.91% | |
| Agree | 53 | 41.09% | |
| Strongly Agree | 21 | 16.28% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |

Q26. Please select below what best reflects your level of agreement with the following statement: The private sector can take the lead in the transfer of most of the technologies recommended by Humidtropics.

| Responses | Count | % | Percentage of total respondents | | | | |
|-------------------|-------|--------|---------------------------------|--|--|--|--|
| Strongly Disagree | 8 | 6.20% | | | | | |
| Disagree | 43 | 33.33% | | | | | |
| Neutral | 36 | 27.91% | | | | | |
| Agree | 34 | 26.36% | | | | | |
| Strongly Agree | 8 | 6.20% | | | | | |
| (Did not answer) | 0 | 0% | | | | | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% | | | | |



Q27. Please select below what best reflects your level of agreement with the following statement: Participation in Humidtropics Flagship Projects has enhanced learning and knowledge sharing between organizations.

| Responses | Count | % | Percentage of tot | al responde | ents | |
|-------------------|-------|--------|-------------------|-------------|-------------|------|
| Strongly Disagree | 2 | 1.55% | | | | |
| Disagree | 5 | 3.88% | | | | |
| Neutral | 20 | 15.50% | | | | |
| Agree | 64 | 49.61% | | | | |
| Strongly Agree | 38 | 29.46% | | | | |
| (Did not answer) | 0 | 0% | | | | |
| Total Responses | 129 | | 20% 40 | % 60% | 80 % | 100% |

Q28. Please choose one of the options below. In subsequent programmatic phases, more emphasis should be placed on:

| Responses | Count | % | Percentage of total respondents |
|--|-------|--------|---------------------------------|
| Research | 23 | 17.83% | |
| Extension | 16 | 12.40% | |
| Research on better extension | 45 | 34.88% | |
| Training of trainers and service providers | 23 | 17.83% | |
| Do not know | 2 | 1.55% | |
| Other (Please specify) | 20 | 15.50% | |
| (Did not answer) | 0 | 0% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |

| Q29. Please choose one of the options | below | : The m | nost important aspect of Humidtropics is: |
|---|-------|---------|---|
| Responses | Count | % | Percentage of total respondents |
| The integrated systems concepts / approach | 80 | 62.02% | |
| Available resources to carry out field days, demonstrations, and farmer training | 7 | 5.43% | |
| Solid research-extension linkages and innovative methods of testing technology | 20 | 15.50% | |
| Multiplicity of partners who can discover technology internationally for Flagship-level adaptation and local transfer | 13 | 10.08% | |
| Do not know | 5 | 3.88% | |
| Other (Please specify) | 3 | 2.33% | |
| (Did not answer) | 1 | 0.78% | |
| Total Responses | 129 | | 20% 40% 60% 80% 100% |



7.2.1 FGD Interview Guide with Platforms

Focus Group Discussion Guide for Platforms

Flagship Area:

Membership (numbers by gender):

Date when Platform was established by Humidtropics:

Main Activities of the Platform:

Benefits from participating in the Platform

- 1. How have you benefited from your participation in the Humidtropics activities as organizations and/ or individuals? (probe for difference in benefits to men and women)
- 2. How have you benefited from your participation in the Humidtropics activities? (probe for information on types of knowledge gained & how it has been used)
- 3. Considering your interests, what have you been able to achieve as a result of participating in Humidtropics?

Capacity Building

- 1. What is your perception of the different demonstrations or trainings received, if any, through Humidtropics (probe for adequacy of the trainings and approaches)?
- 2. Which trainings were most useful and Why?
- 3. How can the trainings be improved?
- 4. What tools have been introduced through this Platform (probe on which ones were most useful)
- 5. What can be done to improve Humidtropics to continue providing services to farmers?

Challenges

- 1. What challenges have you faced as POs while participating in Humidtropics activities?
- 2. How did Humidtropics assist you to address the challenges?

Sustainability

- 1. Would you continue practicing the skills gained after the Humidtropics Project activities? (yes or no)
- 2. If yes, which activities/ interventions would you continue?
- 3. Which ones would not continue and why?

7.2.2 Pre-Site Visit: Case Study Template

- 1. Name of Action Site:
- 2. Land use systems =
 - a.
 - b.
 - c.



3. Research Focus:

| 5. Research Focus. | | |
|--|-----------------------------|---|
| I. Research Focus Areas | Accomplishment s to-date | Questions or Issues to be addressed during Site Visit |
| a) Institutional Innovation (Policy) b) Dietary Diversity in Food Security c) Farm/ Local territory modelling d) Household Decision-making Tools e) Tradeoffs within integrated livestock-fodder-crop-tree systems | | What are the key CRP linkages between Policy, Institutions and Markets with the Commodity CRPs? What are the key lessons learned from the Learning Alliances: a) Mixed Alliance b) Coffee Alliance c) Cocoa Alliance |
| II. Crosscutting Flagship Issues a) Research Deliverables | Outline | Key Questions |
| b) Strategic Nutrition c) Systems Innovation: > Tools Developed > Indicators > Scaling d) Gender Mainstreaming e) Capacity Development | | What innovation strategies have been used to improve targeting and integration of gender equity in target populations and regions? What tools and training materials have been developed to help mainstream gender in the Flagship activities? What activities have been undertaken to strengthen the capacity of all stakeholders and Platform participants in their integrated systems research approaches? |
| III. Key Partnerships | Level or Nature of | Key Questions |
| | Engagement | |
| > > > > NARES/Universities > | | To what extent are the partnerships and institutional alliances that are operational, based on pre-existing (including legacy) projects and activities? To what extent has the new Humidtropics approach broadened the base of partnerships? To what extent do staff and partners working the Humidtropics initiative understand the intended systems integration and innovation pathways that have been presented as the Humidtropics shared vision? |
| \blacktriangleright | | |
| | | |



7.2.3 Kll Interview Guides

A. Kll Interview Guide with Lead Center/ Core Partners Regarding Partnerships and Budget-Cut Issues

Introduction:

In collaboration with the Executive Office, two colleagues and I have been conducting a midterm evaluation of Humidtropics. My main interest in speaking with you today as one of the Lead Center/ Core Partners is to understand how well the Partnership with Humidtropics worked and what mechanisms you used to cope with the budget cuts that Humidtropics experienced in its short 3-year period.

The Interview:

The interview should only take about 30 minutes of your time and will focus on the two key issues I have mentioned.

Active Flagship Projects:

In which Flagship Projects are you most active in? ECA; WA; CAC; CM; All

A. On Partnerships:

- 1. To what extent was your Center's involvement in Humidtropics dependent on financial support from the Humidtropics verses other sources of support?
- 2. To what extent was the partnerships and institutional alliance operational, based on pre-existing (including legacy) projects and activities?
- 3. To what extent have rules and processes (formal and informal) of collaboration between stakeholders constrained effective collaboration toward achieving Humidtropics' objectives?

B. On Coping with Budget Cuts

B.1 Summary of the Changing Context:

The 2013 approved Humidtropics Plan of Work and Budget (POWB), which was organized around SRTs, was revised in May 2013 when the CGIAR Consortium Office mandated redesign of all CRP work around System Level Outcomes (SLOs) and Intermediate Development Outcomes (IDOs). This resulted in a totally revised CRP proposal that was approved in August 2013.

Beginning in 2014, a fourth cluster (Cluster 4), in addition to the three SRTs, was added which enabled funding of projects proposed by Innovation Platforms. The Cluster 4 projects also came with a Results-Based Management (RBM) component.

Question 1: How did this change affect your own operations?

B.2 Background on Budget Cuts

- > In November 2014, Humidtropics W1/2 budget was cut from \$17 to \$14.9 Million.
- In December 2014, more decisions were made on budget cuts for W1/2 budgets for both 2014 & 2015.
- The 2015 Humidtropics W1/2 budget was cut from the expected \$20 to \$12.3 Million.
- In March 2015, this was cut further to \$10.3 Million. Whereby, all partners were required to revise and re-prioritize what they can do within the Flagship Projects.



Question 1: How did this affect implementation of some of your planned activities within Humidtropics?

Question 2: To what extent did the prioritization of Cluster 4 project funds enhance implementation of your own activities?

Question 3: What strategies or mechanisms did you put in place to cope with the budget cuts? Did you have to cut any of your staff?

- How many were Local Staff?
- How many were International staff?

Going forward:

- 1. What do you consider to be the key components of the systems approach?
- 2. What actions are you going to undertake during the transition period up to end of 2016?



Annex 7.3: The Detailed Budget and Expenditure by Center Activities, Personnel, etc. & Source of Funding, 2014

| Period 1 Janua | ary 2014 - 31 Dec | ember 2014 | | | | | | | | | | | | | | |
|---|------------------------------------|---|--|---|---|---|--|---|--|--|---|---|---|--|---|--|
| Center 1 | | Windows 1 | Actual Expense Window 3 | es - This Year Bilateral | Center | Total | Windows 1 | Annual Budget | | Center | Total | Windows 1 | Unspent Budge | | Center | Tota |
| Lenter 1 IITA | | and 2 Funds | Window 3 | funding | Funds | Iotai | and 2 Funds | Window 3 | Bilateral funding | Funds | Iotai | and 2 Funds | Window 3 | Bilateral funding | Funds | lota |
| Personnel | | 2,334,986 | 1,740,193 | 1,076,238 | - | 5,151,417 | 2,561,155 | 1,794,369 | 1,098,187 | | 5,453,711 | 226,169 | 54,176 | 21,949 | - | 302,29 |
| Collaborator Costs - C | | 64,808 | 3,269,272 | 358,848 | - | 3,692,928 | 71,085 | 3,371,051 | 366,166 | - | 3,808,302 | 6,277 | 101,779 | 7,318 | - | 115,37 |
| Collaborator Costs - P Supplies and Services | artners | 227,352 1,297,194 | 1,973,447 2,554,715 | 873,168 1,312,369 | - | 3,073,967 5,164,278 | 249,374 1,422,842 | 2,034,884 2,634,248 | 890,975 1,339,134 | - | 3,175,233 5,396,224 | 22,022 125,648 | 61,437 79,533 | 17,807 26,765 | | 101,266 231,946 |
| Operational Travel | | 494,609 | 542,696 | 346,860 | | 1,384,165 | 542,518 | 559,591 | 353,934 | - | 1,456,043 | 47,909 | 16,895 | 7,074 | - | 71,878 |
| Depreciation | | 8,384 | 715,712 | 206,556 | - | 930,652 | 9,196 | 737,994 | 210,768 | - | 957,958 | 812 | 22,282 | 4,212 | · · · | 27,306 |
| Sub-total of Direct Indirect Costs | Costs | 4,427,333 738,922 | 10,796,035 1,634,534 | 4,174,039 462,135 | - | 19,397,407 2,835,591 | 4,856,170 810,494 | 11,132,137 1,685,421 | 4,259,164 471,560 | - | 20,247,471 2,967,475 | 428,837 71,572 | 336,102 50,887 | 85,125 9,425 | | 850,064 131,884 |
| Total - all Costs | | 5,166,255 | | 4,636,174 | - | 22,232,998 | 5,666,664 | | 4,730,724 | | 23,214,946 | 500,409 | 386,989 | 94,550 | - | 981,948 |
| | | | | | | | | | | | | | | | | |
| CRP -COORDINATION | | Windows 1 | Actual Expense Window | es - This Year Bilateral | Center | Total | Windows 1 | Annual Budge Window | t Bilateral | Center | Total | Windows 1 | Unspent Budge Window | et Bilateral | Center | Total |
| | | and 2 Funds | 3 | funding | Funds | | and 2 Funds | 3 | funding | Funds | | and 2 Funds | 3 | funding | Funds | |
| Personnel | | 484,418 | - | - | - | 484,418 | 484,418 | - | - | - | 484,418 | - | - | - | - | |
| Collaborator Costs - C | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Collaborator Costs - P Supplies and Services | artners | 116,174 | - | - | | - 116,174 | 116,174 | - | - | | - 116,174 | | - | | | |
| Operational Travel | | 128,500 | - | - | - | 128,500 | 128,500 | - | - | - | 128,500 | | - | - | - | |
| Depreciation | | 729,092 | - | - | - | 729,092 | 729,092 | • | - | - | 729,092 | | · · · | , | - | |
| Sub-total of Direct Indirect Costs | Costs | 121,685 | • | - | | 121,685 | 121,685 | - | | | 121,685 | | - | | | |
| Total - all Costs | | 850,777 | - | | - | 850,777 | 850,777 | | - | - | 850,777 | | | - | - | |
| | | | Actual Expens | ies - This Year | | | | (b) Annual Bu | dget | | | | (c.) Unspent B | udget (b -a) | | |
| Center 2 Bioversity | | Windows 1 | Window | Bilateral | Center | Total | Windows 1 | Window | Bilateral | Center | Total | Windows 1 | Window | Bilateral | Center | Tota |
| center 2 bioversity | | and 2 Funds | 3 | funding | Funds | 10101 | and 2 Funds | 3 | funding | Funds | lotai | and 2 Funds | 3 | funding | Funds | 1014 |
| Personnel | | 88,866 | 256,853 | 4,240 | 105,726 | 455,685 | 100,769 | 325,532 | - | 107,842 | 534,143 | 11,903 | 68,679 | (4,240) | 2,116 | 78,458 |
| Collaborator Costs - C | GIAR Centers | | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Collaborator Costs - P | | 168,995 | 10,666 | _ | 50,000 | 229,661 | 184,274 | | | 19,000 | 203,274 | 15,279 | (10,666) | | (31,000) | (26,387) |
| Supplies and Services | | 213,560 | 47,884 | 922 | 209,954 | 472,320 | 158,742 | 196,359 | | 224,954 | 580,055 | (54,818) | 148,475 | (922) | 15,000 | 107,735 |
| | | | , | 522 | , | | , | , | | | | | , | (522) | | , |
| Operational Travel | | 50,239 | | | 5,159 | 77,760 | 67,748 | 63,086 | - | 21,104 | 151,938 | 17,509 | 40,724 | - | 15,945 | 74,178 |
| Depreciation | | 3,528 | 923 | - | | 4,451 | 7,307 | 2,174 | - | - | 9,481 | 3,779 | 1,251 | - | - | 5,030 |
| Sub-total of Direct | Costs | 525,188 | 338,688 | 5,162 | 370,839 | 1,239,877 | 518,840 | 587,151 | - | 372,900 | 1,478,891 | (6,348) | 248,463 | (5,162) | 2,061 | 239,014 |
| Indirect Costs | | 92,232 | 53,917 | 671 | 70,459 | 217,279 | 98,580 | 97,050 | - | 70,851 | 266,481 | 6,348 | 43,133 | (671) | 392 | 49,202 |
| Total - all Costs | | 617,420 | 392,605 | 5,833 | 441,298 | 1,457,156 | 617,420 | 684,201 | | 443,751 | 1,745,372 | | 291,596 | (5,833) | 2,453 | 288,216 |
| | | | Actual Expens | | | _,, | , | (b) Annual Bu | daat | | _,, | | (c.) Unspent B | | _, | |
| Center 3 CIAT | | Windows 1 | Window | Bilateral | Center | Total | Windows 1 | Window | | Center | Total | Windows 1 | | Bilateral | Center | Tota |
| Center 5 CIAT | | and 2 Funds | willdow 3 | | Funds | TULAI | and 2 Funds | willdow 3 | Bilateral | Funds | TULA | and 2 Funds | Window 3 | | Funds | TULA |
| | | | | funding | | | | | funding | | | | | funding | Tunus | |
| Personnel | | 504,366 | 27,266 | 226,187 | 9,648 | 767,467 | 579,526 | 16,687 | 207,391 | 9,648 | 813,252 | 75,160 | (10,579) | (18,796) | - | 45,785 |
| Collaborator Costs - C | GIAR Centers | - | - | - | - | - | | • | - | - | - | - | - | - | - | - |
| Collaborator Costs - P | artners | 150,089 | 45,450 | 1,149,378 | - | 1,344,917 | 154,565 | 42,045 | 1,158,906 | - | 1,355,516 | 4,476 | (3,405) | 9,528 | - | 10,599 |
| Supplies and Services | | 423,066 | 29,524 | 127,870 | - | 580,460 | 349,924 | 48,673 | 250,669 | - | 649,266 | (73,142) | 19,149 | 122,799 | - | 68,806 |
| Operational Travel | | 75,065 | 469 | 46,800 | - | 122,334 | 84,691 | (3,699) | 35,073 | - | 116,065 | 9,626 | (4,168) | (11,727) | - | (6,269) |
| Depreciation | | 21,960 | - | 35,692 | - | 57,652 | 28,000 | - | - | - | 28,000 | 6,040 | | (35,692) | | (29,652) |
| Sub-total of Direct | Costs | 1,174,546 | 102,709 | 1,585,927 | 9,648 | 2,872,830 | 1,196,706 | 103,706 | 1,652,039 | 9,648 | 2,962,099 | 22,160 | 997 | 66,112 | - | 89,269 |
| Indirect Costs | | 137,832 | 11,907 | 243,311 | - | 393,050 | 115,672 | 10,919 | 252,199 | - | 378,790 | (22,160) | (988) | 8,888 | - | (14,260) |
| Total - all Costs | | 1,312,378 | 114,616 | 1,829,238 | 9,648 | 3,265,880 | 1,312,378 | 114,625 | 1,904,238 | 9,648 | 3,340,889 | | 9 | 75,000 | • | 75,009 |
| | | | Actual Expens | es - This Year | | | | (b) Annual Bu | dget | | | | (c.) Unspent B | udget (b -a) | | |
| Center 4 CIP | | Windows 1 | Window | Bilateral | Center | Total | Windows 1 | Window | Bilateral | Center | Total | Windows 1 | Window | Bilateral | Center | Tota |
| | | and 2 Funds | 3 | funding | Funds | | and 2 Funds | 3 | funding | Funds | | and 2 Funds | 3 | funding | Funds | |
| Personnel | | | | | | | | | | | | | 5 | -unit in the | | 40,511 |
| | | | - | 0 | - | 232 310 | | - | 0 | | 272 821 | 20 258 | - | 1 252 | | -0,011 |
| Collaborator Costs - C | GIAR Centers | 231,237 | - | 1,073 | - | 232,310 | 270,495 | - | 2,326 | - | 272,821 | 39,258 | - | 1,253 | _ | - |
| Collaborator Costs - C | | 231,237 | | 1,073 - | - | - | 270,495 | - | 2,326 | - | - | - | - | - | - | 1 001 |
| Collaborator Costs - P | artners | 231,237 | - | 1,073 - 136,477 | - | 227,477 | 270,495 | - | 0 | - | 229,458 | 1,833 | - | - 148 | - | 1,981 |
| Collaborator Costs - P Supplies and Services | artners | 231,237 - 91,000 264,677 | - | 1,073 | - - - | 227,477 265,732 | 270,495 - 92,833 206,378 | - | 2,326 | - | 229,458 206,378 | 1,833 (58,299) | - | - | - | (59,354) |
| Collaborator Costs - P. Supplies and Services Operational Travel | artners | 231,237 | - | 1,073 - 136,477 | - - - - | 227,477 | 270,495 92,833 206,378 29,023 | - | 2,326 | - | 229,458 206,378 29,023 | 1,833 (58,299) (33,445) | - - - - - | - 148 | | (59,354) (33,445) |
| Collaborator Costs - P Supplies and Services Operational Travel Depreciation | artners | 231,237 91,000 264,677 62,468 | - | 1,073 - 136,477 1,055 - - | | 227,477 265,732 62,468 | 270,495 - 92,833 206,378 29,023 48,168 | - | 2,326 - 136,625 - - - | | 229,458 206,378 29,023 48,168 | 1,833 (58,299) (33,445) 48,168 | - - - - - - - - | 148 (1,055) | | (59,354) (33,445) 48,168 |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct | artners | 231,237 - 91,000 264,677 | - | 1,073 - 136,477 | - - - - - - | 227,477 265,732 | 270,495 92,833 206,378 29,023 | | 2,326 | - - - - - - | 229,458 206,378 29,023 | 1,833 (58,299) (33,445) | - - - - - - - - | - 148 | - | (59,354) (33,445) |
| Collaborator Costs - P Supplies and Services Operational Travel Depreciation | artners | 231,237 91,000 264,677 62,468 | | 1,073 - 136,477 1,055 - - | - - - - - - - - - - - - | 227,477 265,732 62,468 | 270,495 - 92,833 206,378 29,023 48,168 | | 2,326 - 136,625 - - - | - - - - - - - - - | 229,458 206,378 29,023 48,168 | 1,833 (58,299) (33,445) 48,168 | - - - - - - - - - - - - - - - - - - - | 148 (1,055) | - - - - - - - - - - - - - - | (59,354) (33,445) 48,168 |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct | artners | 231,237 91,000 264,677 62,468 | - - - - - - - - - - - - - - - - - - - | 1,073 - 136,477 1,055 - - | - - - - - - - - - - - | 227,477 265,732 62,468 787,987 | 270,495 92,833 206,378 29,023 48,168 646,897 | | 2,326 - 136,625 - - - | - - - - - - - - - - - - | 229,458 206,378 29,023 48,168 785,848 | 1,833 (58,299) (33,445) 48,168 (2,485) | - - - - - - - - - - - - - - - - - - - | 148 (1,055) | - - - - - - - - - - - - | (59,354) (33,445) 48,168 (2,139) |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct Indirect Costs | artners | 231,237 91,000 264,677 62,468 | - - - - - - - - - - - - - - - - - - - | 1,073 | - - - - - - - - - - - - - - | 227,477 265,732 62,468 - - 787,987 98,347 | 270,495 - 92,833 206,378 29,023 48,168 646,897 100,832 | | 2,326 - 136,625 - - 138,951 - 138,951 | - - - - - - - - - - - - | 229,458 206,378 29,023 48,168 785,848 100,832 | 1,833 (58,299) (33,445) 48,168 (2,485) | - - - - - - - - - - - - - - - - - - - | 148 (1,055) 346 346 | - - - - - - - - - - - - - - - | (59,354) (33,445) 48,168 (2,139) 2,485 |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct Indirect Costs Total - all Costs | artners | 231,237 91,000 264,677 62,468 649,382 98,347 747,729 | | 1,073 - 136,477 1,055 - - 138,605 - 138,605 - ses - This Year | - - - - - - - - - - - - - - | 227,477 265,732 62,468 787,987 98,347 886,334 | 270,495 92,833 206,378 29,023 48,168 646,897 100,832 747,729 | - - - - - - - - - - - - - - - - - - - | 2,326 - 136,625 - - 138,951 - 138,951 dget | | 229,458 206,378 29,023 48,168 785,848 100,832 886,680 | - 1,833 (58,299) (33,445) 48,168 (2,485) 2,485 - | - - - - - - - - - - - - - - - - - - - | 148 (1,055) 346 346 idget (b -a) | - - - - - - - - - - - - - - - - - - | (59,354) (33,445) 48,168 (2,139) 2,485 346 |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct Indirect Costs | artners | 231,237 91,000 264,677 62,468 649,382 98,347 747,729 Windows 1 | | 1,073 1,073 136,477 1,055 138,605 138,605 ses - This Year Bilateral | - - - - - - - - - - - - - - - - - - - | 227,477 265,732 62,468 - - 787,987 98,347 | 270,495 92,833 206,378 29,023 48,168 646,897 100,832 747,729 Windows 1 | - - - - - - - - - - - - - - - - - - - | 2,326 - 136,625 - - 138,951 - 138,951 dget Bilateral | | 229,458 206,378 29,023 48,168 785,848 100,832 | | Window | 148 (1,055) 346 346 idget (b -a) Bilateral | - - - - - - - - - - - - - - - - - - - | (59,354) (33,445) 48,168 (2,139) 2,485 |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct Indirect Costs Total - all Costs Center 5 ILRI | artners | 231,237 91,000 264,677 62,468 649,382 98,347 747,729 Windows 1 and 2 Funds | Actual Expense Window 3 | 1,073 - 136,477 1,055 - 138,605 - 138,605 - - 138,605 - - - - - - - - - - - - - - - - - - - | - - - - - - - - - - - - - - - - - - - | 227,477 265,732 62,468 787,987 98,347 886,334 Total | 270,495 92,833 206,378 29,023 48,168 646,897 100,832 747,729 Windows 1 and 2 Funds | - - - - (b) Annual Bu Window 3 | 2,326 | - - - - - - - - - - - - - - | 229,458 206,378 29,023 48,168 785,848 100,832 886,680 Total | | Window 3 | 148 (1,055) 3346 3346 idget (b -a) Bilateral funding | Center Funds | (59,354) (33,445) 48,168 (2,139) 2,485 346 Total |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct Indirect Costs Total - all Costs Center 5 ILRI Personnel | Costs | 231,237 91,000 264,677 62,468 649,382 98,347 747,729 Windows 1 and 2 Funds 1,341,366 | | 1,073 - 136,477 1,055 - 138,605 - 138,605 - - 138,605 - - - - - - - - - - - - - - - - - - - | | 227,477 265,732 62,468 787,987 98,347 886,334 886,334 Total 2,209,833 | 270,495 92,833 206,378 29,023 48,168 646,897 100,832 747,729 Windows 1 | | 2,326 - 136,625 - - - 138,951 - 138,951 - - - - - - - - - - - - - - - - - - - | | 229,458 206,378 29,023 48,168 785,848 100,832 886,680 Total 2,601,011 | 1,833 (58,299) (33,445) 48,168 (2,485) 2,485 2,485 | Window 3 102,558 | 148 (1,055) 346 346 udget (b -a) Bilateral funding 305,870 | | (59,354) (33,445) 48,168 (2,139) 2,485 346 Total 391,178 |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct Indirect Costs Total - all Costs Center 5 ILRI Personnel Collaborator Costs - C | Costs | 231,237 91,000 264,677 62,468 649,382 98,347 747,729 Windows 1 and 2 Funds | | 1,073 - 136,477 1,055 - 138,605 - 138,605 - ses - This Year Bilateral funding 270,794 | | - 227,477 265,732 62,468 - 787,987 98,347 886,334 Total 2,209,833 1,331,700 | 270,495 92,833 206,378 29,023 48,168 646,897 100,832 747,729 Windows 1 and 2 Funds | | 2,326 - 136,625 - - - 138,951 - 138,951 dget Bilateral funding 576,664 20,000 | | 229,458 206,378 29,023 48,168 785,848 100,832 886,680 Total 2,601,011 920,000 | | Window 3 102,558 (396,700) | 148 (1,055) 346 346 idget (b -a) Bilateral funding 305,870 20,000 | | (59,354) (33,445) 48,168 (2,139) 2,485 346 Total 391,178 (411,700) |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct Indirect Costs Total - all Costs Center 5 ILRI Personnel Collaborator Costs - C Collaborator Costs - P. | artners Costs GIAR Centers artners | 231,237 91,000 264,677 62,468 | Actual Expens Window 597,673 1,296,700 | 1,073 - 136,477 1,055 - - 138,605 - - 138,605 - - - 138,605 - - - - - - - - - - - - - - - - - - - | | - 227,477 265,732 62,468 - 787,987 98,347 886,334 Total 2,209,833 1,331,700 244,135 | 270,495 92,833 206,378 29,023 48,168 646,897 100,832 747,729 Windows 1 and 2 Funds 1,324,116 | | 2,326 - 136,625 - - 138,951 - - - - - - - - - - - - - - - - - - - | | 229,458 206,378 29,023 48,168 785,848 100,832 886,680 Total 2,601,011 920,000 952,204 | 1,833 (58,299) (33,445) 48,168 (2,485) 2,485 2,485 2,485 1,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,495 2,4 | Window 3 102,558 (396,700) 400,000 | 148 (1,055) 346 346 dget (b-a) Bilateral funding 305,870 20,000 308,069 | | (59,354) (33,445) 48,168 (2,139) 2,485 346 Total 391,178 (411,700) 708,069 |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct Indirect Costs Total - all Costs Center 5 ILRI Personnel Collaborator Costs - C Collaborator Costs - P. Supplies and Services | artners Costs GIAR Centers artners | 231,237 91,000 264,677 62,468 | Actual Expens Window 3 597,673 1,296,700 - 606,422 | 1,073 - 136,477 1,055 - - 138,605 - 138,605 - - 138,605 - - 138,605 - - 138,605 - - - - - - - - - - - - - - - - - - - | | - 227,477 265,732 62,468 - 787,987 98,347 886,334 Total 2,209,833 1,331,700 244,135 1,270,795 | 270,495 92,833 206,378 29,023 48,168 646,897 100,832 747,729 Windows 1 and 2 Funds 1,324,116 - | | 2,326 - - - - - - - - - - - - - - - - - - - | | 229,458 206,378 29,023 48,168 785,848 100,832 886,680 701 701 701 701 701 701 701 701 701 701 | | Window 3 102,558 (396,700) 400,000 (302,267) | 148 (1,055) 346 346 4dget (b -a) Bilateral funding 305,870 20,000 308,069 48,399 | | (59,354) (33,445) 48,168 (2,139) 2,485 346 7 0 10 391,178 (411,700) 708,069 (131,791) |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct Indirect Costs Total - all Costs Center 5 ILRI Personnel Collaborator Costs - C Collaborator Costs - P Supplies and Services Operational Travel | artners Costs GIAR Centers artners | 231,237 91,000 264,677 62,468 | | 1,073 - 136,477 1,055 - 138,605 - 138,605 - - 138,605 - - - 138,605 - - - - - - - - - - - - - - - - - - - | | - 227,477 265,732 62,468 - 787,987 98,347 886,334 Total 2,209,833 1,331,700 244,135 1,270,795 404,677 | 270,495 92,833 206,378 29,023 48,168 646,897 100,832 747,729 Windows 1 and 2 Funds 1,324,116 | | 2,326 - 136,625 - - 138,951 - - - - - - - - - - - - - - - - - - - | | 229,458 206,378 29,023 48,168 785,848 100,832 886,680 Total 2,601,011 920,000 952,204 | 1,833 (58,299) (33,445) 48,168 (2,485) 2,485 2,485 2,485 1,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,485 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,499 3,445 2,495 2,4 | Window 3 102,558 (396,700) 400,000 (302,267) (114,888) | 148 (1,055) 346 346 dget (b -a) Bilateral funding 305,870 20,000 308,069 48,399 (61,146) | | (59,354) (33,445) 48,168 (2,139) 2,485 346 Total 391,178 (411,700) 708,069 (131,791) (245,861) |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct Indirect Costs Total - all Costs Center 5 ILR Personnel Collaborator Costs - C Collaborator Costs - C Collaborator Costs - Qupplies and Services Operational Travel Depreciation | artners Costs GIAR Centers artners | 231,237 91,000 264,677 62,468 | Actual Expense Window 3 597,673 1,296,700 - 606,422 128,854 46,854 | 1,073 136,477 1,055 - 138,605 xes - This Year Bilateral funding 270,794 - 244,135 229,554 94,996 143,260 | | - 227,477 265,732 62,468 - 787,983 98,347 886,334 Total 2,209,833 1,331,700 244,135 1,270,795 1,270,795 1,270,795 | 270,495 92,833 206,378 22,023 48,168 646,897 110,832 747,729 Windows 1 and 2 Funds 1,324,116 | | 2,326 - - - - - - - - - - - - - - - - - - - | | 229,458 206,378 29,023 48,168 785,848 100,832 886,680 Total 2,601,011 920,000 952,204 1,139,001 158,816 | 1,833 (58,299) (33,445) 48,168 (2,485) 2,485 2,4 | Window 3 102,558 (396,700) 400,000 (302,267) (114,888) (46,854) | 148 (1,055) 346 346 idget (b -a) Bilateral funding 305,870 20,000 308,069 48,8399 (61,146) (143,260) | Funds | (59,354) (33,445) 48,168 (2,139) 2,485 346 Total 391,178 (411,700) 708,069 (131,791) (245,861) (190,114) |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct Indirect Costs Total - all Costs Center 5 ILRI Personnel Collaborator Costs - C Collaborator Costs - P. Supplies and Services Operational Travel | artners Costs GIAR Centers artners | 231,237 91,000 264,677 62,468 | Actual Expense Window 3 597,673 1,296,700 - 606,422 128,854 46,854 | 1,073 - 136,477 1,055 - 138,605 - 138,605 - - 138,605 - - - 138,605 - - - - - - - - - - - - - - - - - - - | | - 227,477 265,732 62,468 - 787,987 98,347 886,334 Total 2,209,833 1,331,700 244,135 1,270,795 404,677 | 270,495 92,833 206,378 29,023 48,168 646,897 100,832 747,729 Windows 1 and 2 Funds 1,324,116 - | | 2,326 - - - - - - - - - - - - - - - - - - - | | 229,458 206,378 29,023 48,168 785,848 100,832 886,680 701 701 701 701 701 701 701 701 701 701 | | Window 3 102,558 (396,700) 400,000 (302,267) (114,888) | 148 (1,055) 346 346 dget (b -a) Bilateral funding 305,870 20,000 308,069 48,399 (61,146) | | (59,354) (33,445) 48,168 (2,139) 2,485 346 Total |
| Collaborator Costs - P. Supplies and Services Operational Travel Depreciation Sub-total of Direct Indirect Costs Total - all Costs Center 5 ILR Personnel Collaborator Costs - C Collaborator Costs - C Collaborator Costs - Qupplies and Services Operational Travel Depreciation | artners Costs GIAR Centers artners | 231,237 91,000 264,677 62,468 | Actual Expense 4. Actual Expense 3. Starting of the second seco | 1,073 136,477 1,055 - 138,605 xes - This Year Bilateral funding 270,794 - 244,135 229,554 94,996 143,260 | | - 227,477 265,732 62,468 - 787,983 98,347 886,334 Total 2,209,833 1,331,700 244,135 1,270,795 1,270,795 1,270,795 | 270,495 92,833 206,378 22,023 48,168 646,897 110,832 747,729 Windows 1 and 2 Funds 1,324,116 | | 2,326 - - - - - - - - - - - - - - - - - - - | | 229,458 206,378 29,023 48,168 785,848 100,832 886,680 Total 2,601,011 920,000 952,204 1,139,001 158,816 | 1,833 (58,299) (33,445) 48,168 (2,485) 2,485 2,4 | Window 3 102,558 (396,700) 400,000 (302,267) (114,888) (46,854) (358,151) (358,151) | 148 (1,055) 346 346 idget (b -a) Bilateral funding 305,870 20,000 308,069 48,8399 (61,146) (143,260) | Funds | (59,354) (33,445) 48,168 (2,139) 2,485 346 Total 391,178 (411,700) 708,069 (131,791) (245,861) (190,114) |



The Detailed Budget and Expenditure by Center Activities, Personnel, etc. & Source of Funding, 2014 Cont'd:

| | | | Actual Expens | es - This Year | | | | (b) Annual Bu | Idget | | | | (c.) Unspent B | udget (h -a) | | |
|--|--------------|--------------------------|-------------------------|----------------------|-----------------|--------------------|--------------------------|-------------------------|-----------------------------|-----------------|-------------------------|--------------------------|---------------------|----------------------|-----------------|----------------------|
| Center 6 IWMI | | Windows 1 and 2 Funds | Window 3 | Bilateral funding | Center Funds | Total | Windows 1 and 2 Funds | | Bilateral funding | Center Funds | Total | Windows 1 and 2 Funds | | Bilateral funding | Center Funds | Total |
| Personnel | | 253,828 | - | 122,907 | | 376,735 | 237,900 | - | - | - | 237,900 | (15,928) | - | (122,907) | - | (138,835) |
| Collaborator Costs - C | GIAR Centers | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Collaborator Costs - P | artners | 14,909 | | - | | 14,909 | 22,911 | | - | - | 22,911 | 8,002 | | - | - | 8,002 |
| Supplies and Services Operational Travel | | 91,942 27,532 | - | 23,248 | - | 115,190 27,532 | 95,638 30,200 | - | - | - | 95,638 30,200 | 3,696 | - | (23,248) | - | (19,552) 2,668 |
| Depreciation | | - 27,552 | - | - | | 27,552 | | | - | - | | 2,008 | - | | - | 2,008 |
| Sub-total of Direct | Costs | 388,211 | - | 146,155 | | 534,366 | 386,649 | | - | | 386,649 | (1,562) | | (146,155) | - | (147,717) |
| Indirect Costs | | 62,076 | - | 23,384 | - | 85,460 | 63,638 | - | - | - | 63,638 | 1,562 | - | (23,384) | - | (21,822) |
| Total - all Costs | | 450,287 | - | 169,539 | | 619,826 | 450,287 | - | - | - | 450,287 | | - | (169,539) | - | (169,539) |
| Center 7 ICRAF | _ | | Actual Expens | | 6 | T 1 1 1 | | (b) Annual Bu | | 6 | 7 .1.1 | | (c.) Unspent B | | 6 | |
| Center 7 ICRAF | | Windows 1 and 2 Funds | Window 3 | Bilateral funding | Center Funds | Total | Windows 1 and 2 Funds | Window 3 | Bilateral funding | Center Funds | Total | Windows 1 and 2 Funds | Window 3 | Bilateral funding | Center Funds | Total |
| Personnel | _ | 397,973 | | 63,076 | | 461,049 | 465,000 | | 30,479 | | 495,479 | 67,027 | | (32,597) | | 34,430 |
| Collaborator Costs - C | GIAR Centers | - | - | | - | - | 25,000 | - | - | - | 25,000 | 33,000 | - | | - | 33,000 |
| Collaborator Costs - P | artners | 114,663 | - | 44,407 | - | 159,070 | 33,000 | - | 20,658 | - | 53,658 | (10,476) | - | (23,749) | - | (34,225) |
| Supplies and Services | | 120,021 | - | 41,985 | - | 162,006 | 104,187 | - | 34,644 | - | 138,831 | #REF! | - | (7,341) | - | #REF! |
| Operational Travel Depreciation | | 122,867 2,249 | - | 24,794 | | 147,661 2,249 | 125,587 5,000 | • | 45,213 | - | 170,800 5,000 | 2,720 2,751 | • | 20,419 | - | 23,139 2,751 |
| Sub-total of Direct | Costs | 757,773 | | 174,262 | | 932,035 | 757,774 | | 130,994 | | 888,768 | #REF! | | (43,268) | | #REF! |
| Indirect Costs | | 113,666 | - | 27,742 | - | 141,408 | 113,664 | - | 14,638 | - | 128,302 | (2) | - | (13,104) | - | (13,106) |
| Total - all Costs | | 871,439 | | 202,004 | | 1,073,443 | 871,438 | | 145,632 | | 1,017,070 | #REF! | | (56,372) | - | #REF! |
| C | _ | 115-1 | Actual Expens | | 6 | T 1 1 1 | | (b) Annual Bu | | | 7 1 | 147° - 1 4 | (c.) Unspent B | | 6 | |
| Center 8 AVRDC | | Windows 1 and 2 Funds | Window 3 | Bilateral funding | Center Funds | Total | Windows 1 and 2 Funds | Window 3 | Bilateral funding | Center Funds | Total | Windows 1 and 2 Funds | Window 3 | Bilateral funding | Center Funds | Total |
| Personnel | | 286,427 | - | - | - | 286,427 | 326,358 | - | - | - | 326,358 | 39,931 | - | - | - | 39,931 |
| Collaborator Costs - C | | - | - | - | | - | - | - | - | - | - 77,208 | - | - | - | - | - 77,208 |
| Collaborator Costs - P Supplies and Services | artners | 211,098 | - | - | | 211,098 | 77,208 250,000 | | - | - | 250,000 | 77,208 38,902 | - | | | 38,902 |
| Operational Travel | | 53,872 | - | - | | 53,872 | 92,306 | | | | 92,306 | 38,434 | - | - | | 38,434 |
| Depreciation | | 63,261 | - | - | | 63,261 | - | - | | - | - | (63,261) | - | | - | (63,261) |
| Sub-total of Direct | Costs | 614,658 | - | - | - | | 745,872 | | - | - | | 131,214 | - | | | 131,214 |
| Indirect Costs | | 117,701 732,359 | - | - | | 117,701 | 131,681 | - | - | | 131,681 | 13,980 | | • | | 13,980 |
| Total - all Costs | | /32,359 | - Actual Expens | | | 732,359 | 877,553 | - (b) Annual Bu | - Idget | | 877,553 | 145,194 | - (c.) Unspent B | | | 145,194 |
| Center 9 FARA | | Windows 1 | Window | Bilateral | Center | Total | Windows 1 | Window | Bilateral | Center | Total | Windows 1 | Window | Bilateral | Center | Total |
| | | and 2 Funds | 3 | funding | Funds | | and 2 Funds | 3 | funding | Funds | | and 2 Funds | 3 | funding | Funds | |
| Personnel | | 120,554 | - | - | - | 120,554 | 329,999 | - | - | - | 329,999 | 209,445 | - | - | - | 209,445 |
| Collaborator Costs - C | | 195,165 | - | - | - | 195,165 | 450,000 | - | - | - | 450,000 | 254,835 | - | | - | 254,835 |
| Collaborator Costs - P | artners | 259,900 45,835 | - | - | | 259,900 45,835 | 500,000 237,798 | - | - | - | 500,000 237,798 | 240,100 191,963 | - | | - | 240,100 191,963 |
| Supplies and Services Operational Travel | | 45,833 | | | - | 75,833 | 170,094 | | - | | 170,094 | 94,261 | | | | 94,261 |
| Depreciation | | | - | | - | - | | - | - | - | | | - | | - | |
| Sub-total of Direct | Costs | 697,287 | - | - | - | 697,287 | 1,687,891 | | - | - | 1,687,891 | 990,604 | - | - | - | 990,604 |
| Indirect Costs Total - all Costs | | 125,512 822,799 | - | - | - | 125,512 822,799 | 204,203 1,892,094 | - | - | - | 204,203 1,892,094 | 78,691 1,069,295 | - | | - | 78,691 1,069,295 |
| Total - all Costs | | 022,755 | - Actual Expens | | | 022,755 | 1,892,094 | - (b) Annual Bu | - Idget | | 1,092,094 | 1,005,255 | (c.) Unspent B | | | 1,005,255 |
| Center 10 icipe | | Windows 1 and 2 Funds | Window 3 | Bilateral funding | Center Funds | Total | Windows 1 and 2 Funds | Window 3 | Bilateral funding | Center Funds | Total | Windows 1 and 2 Funds | Window 3 | Bilateral funding | Center Funds | Total |
| Personnel | | 230,920 | - | - | - | 230,920 | 219,188 | - | - | - | 219,188 | (11,732) | - | - | - | (11,732) |
| Collaborator Costs - C | | - | - | - | - | - 24,998 | - 33,600 | - | - | - | - | - 8,602 | - | - | - | - 8,602 |
| Collaborator Costs - P Supplies and Services | arthers | 24,998 149,388 | - | - | - | 149,388 | 138,600 | - | - | - | 33,600 138,600 | (10,788) | - | | | (10,788) |
| Operational Travel | | 73,024 | - | - | - | 73,024 | 172,964 | | - | - | 172,964 | 99,940 | | | - | 99,940 |
| Depreciation | | | - | - | - | - | - | - | - | - | - | | - | - | - | - |
| Sub-total of Direct Indirect Costs | Costs | 478,330 | | - | - | 478,330 | 564,352 | | - | - | 564,352 | 86,022 | - | | | 86,022 |
| Total - all Costs | | 47,833 526,163 | - | - | - | 47,833 526,163 | 58,876 623,228 | - | - | - | 58,876 623,228 | 11,043 97,065 | - | - | - | 11,043 97,065 |
| | | | Actual Expens | or This Voor | | | | (b) Annual B | udaat | | | | (c.) Unspent B | udget (h. a) | | , |
| | | | | | | | | | | | | | . / . | • • • | | |
| Center 11 WUR | | Windows 1 and 2 Funds | Window 3 | Bilateral funding | Center Funds | | Windows 1 and 2 Funds | | Bilateral funding | Center Funds | Total | Windows 1 and 2 Funds | Window 3 | Bilateral funding | Center Funds | Total |
| Personnel | | 352,994 | | | | 352,994 | 370,000 | - | | - | 370,000 | 17,006 | - | | | 17,006 |
| Collaborator Costs - C | GIAR Centers | | | _ | - | | | | _ | _ | | | _ | | | , |
| | | - | | - | | - | - | - | - | - | | - | - | - | - | |
| Collaborator Costs - P | | | | - | - | | - | • | • | - | • | | | • | | - |
| Supplies and Services | | 18,586 | - | - | - | 18,586 | 79,292 | - | - | - | 79,292 | 60,706 | - | - | - | 60,706 |
| Operational Travel | | 75,660 | | - | - | 75,660 | 100,166 | | - | - | 100,166 | 24,506 | | | - | 24,506 |
| Depreciation | | | | - | - | | - | | | - | - | | | | - | |
| Sub-total of Direct | Contr | 447,240 | | | | 447,240 | 549,458 | | | | 549,458 | 102,218 | , | · · · · · | | 102,218 |
| | CUSIS | | | | • | | | | • | • | | | | • | • | |
| Indirect Costs | | 89,448 | | - | | 89,448 | 100,350 | | | - | 100,350 | 10,902 | | | • | 10,902 |
| Total - all Costs | | 536,688 | | • | | 536,688 | 649,808 | | • | - | 649,808 | 113,120 | | • | • | 113,120 |
| | _ | | Actual Expens | | | | | (b) Annual Bu | · · | | | | (c.) Unspent B | | | |
| Totals for CRP | | Windows 1 | Window | Bilateral | Center | Total | Windows 1 | Window | Bilateral | Center | Total | Windows 1 | Window | Bilateral | Center | Total |
| | | and 2 Funds | 3 | funding | Funds | | and 2 Funds | 3 | funding | Funds | | and 2 Funds | 3 | funding | Funds | |
| Personnel | | 6,627,935 | 2,621,985 | 1,764,515 | 115,374 | 11,129,809 | 7,268,924 | 2,836,819 | 1,915,047 | 117,490 | 12,138,280 | 640,989 | 214,834 | 150,532 | 2,116 | 1,008,471 |
| Collaborator Costs - C | GIAR Centers | 294,973 | 4,565,972 | 358,848 | | 5,219,793 | 546,085 | | 386,166 | , | 5,203,302 | 251,112 | | 27,318 | - | (16,491) |
| Collaborator Costs - P | | | | 2,447,565 | 50.000 | | | | | 10.000 | | | | | (21 000) | |
| | | 1,051,906 | 2,029,563 | | 50,000 | | 1,347,765 | | 2,759,368 | 19,000 | | 295,859 | 447,366 | 311,803 | (31,000) | 1,024,028 |
| Supplies and Services | | 3,386,360 | 3,238,545 | 1,737,003 | | 8,571,862 | 3,716,471 | | 1,902,400 | 224,954 | | 330,111 | (55,110) | 165,397 | 15,000 | 455,398 |
| Operational Travel | | 1,420,496 | 694,381 | 513,450 | 5,159 | 2,633,486 | 1,654,797 | 632,944 | 468,070 | 21,104 | 2,776,915 | 234,301 | (61,437) | (45,380) | 15,945 | 143,429 |
| Depreciation | | 99,382 | 763,489 | 385,508 | | 1,248,379 | 97,671 | 740,168 | 210,768 | - | 1,048,607 | (1,711) | (23,321) | (174,740) | - | (199,772) |
| | Costs | 12,881,052 | 13,913,935 | 7,206,889 | 380,487 | 34,382,363 | 14,631,713 | 14,141,346 | 7,641,819 | 382,548 | 36,797,426 | 1,750,661 | 227,411 | 434,930 | 2,061 | 2,415,063 |
| Sub-total of Direct | | | | | | | ,, | | 1 1. * | 1. * | | | , | | 4.1.1 | |
| | | 2,044 056 | 2,101 446 | 901 266 | 70 459 | 5,117 227 | 2 218 477 | 2,141 143 | 957 498 | 70 851 | 5,387 969 | 174 421 | 39 697 | 56 232 | 392 | 270 742 |
| Sub-total of Direct Indirect Costs Total - all Costs | | 2,044,056 14,925,108 | 2,101,446 16,015,381 | 901,266 8,108,155 | 70,459 | | 2,218,477 | 2,141,143 16,282,489 | 957,498 8,599,317 | 70,851 | 5,387,969 42,185,395 | 174,421 1,925,082 | 39,697 267,108 | 56,232 491,162 | 392 2,453 | 270,742 2,685,805 |



Annex 7.4: A list of persons consulted during the entire evaluation process

Annex 7.4.1: IITA Leadership, Humidtropics Executive Office, Managers & Lead Center Heads Interviewed

| | List of People | Interacted with via KIIs | , FGDs & Site V | /isits | | | | | | |
|--|---|------------------------------------|-----------------|--------------------|----------------|-------------|------|-------|--------|------|
| Name | Institutional affiliation | Title | Location | | CCE | E Me | thod | | Ger | nder |
| | | | | KII (in person) | KII (skype) | KII (email) | FGD | other | Female | Male |
| TOTAL | | | | 27 | 17 | 8 | 198 | 0 | 66 | 176 |
| 1. Humidtropics Executive Office | | | | | | | | | | |
| Kwesi Atta-Krah* | | Director | | х | | | | | | х |
| Eric Koper* | | Chief Management Officer | | × | | | | | | x |
| Oyewale Obioye | Humidtropics Executive Office - Ibadan | Administrative Officer | | x | | | | | | x |
| Willemien Brooijmans | | Consultant | | х | | | | | х | |
| Humidtopics Managers | | | | | | | | | | |
| Lisa Hiwsaki | ICRAF | Area-based Flagship Coordinator | СМ | | Х | | | | х | |
| Chris Okafor | IITA | Area-based Flagship Coordinator | ECA | x | | х | | | | х |
| Rein van der Hoek | CIAT | Area-based Flagship Coordinator | CAC | х | | | | | | x |
| Latifou Idrissou | IITA | Area-based Flagship Coordinator | WA | х | | | | | | x |
| Tim Robinson | ILRI | Leader: SRT 1 | | Х | Х | | | | | Х |
| Mark Lundy | CIAT | Leader: SRT 2.1 | | | | | | | | Х |
| Bernard Vanlauwe | IITA | Leader: SRT 2.2 | | | | | | | | Х |
| Edmundo Barrios | ICRAF | Leader: SRT 2.3 | Kenya | Х | | | | | | Х |
| Cees Leeuwis | WUR | Co-leader: SRT3 | Netherlands | Х | | | | | | Х |
| Anne Degrande | ICRAF | Co-leader: SRT3 | Cameroon | | | | | | Х | |
| Lead Partner Focal Points | | | | | | | | | | |
| Victor Afari-Sefa | AVRDC | | | | Х | | | | | Х |
| Charles Staver | Bioversity International | | | | Х | | | | | Х |
| Ingrid Oborn | ICRAF | | | Х | | | | | Х | |
| Michael Peters | CIAT | | | | Х | | | | | Х |
| Britta Kowalski | CIP | | | | | | | | Х | |
| Oluwole Fatunbi | FARA | | | | Х | | | | | Х |
| Charles Midega | icipe | | | | | | | | | Х |
| Richard Asare | IITA | | | | Х | | | | | Х |
| Tim Williams | IWMI | | | | Х | | | | | Х |
| Laurens Klerkx | WUR | | | | | | | | | Х |
| Humidtropics specialists | | | | | | | | | | |
| Rachel Zozo | IITA/ Humidtropics | M&E Officer | DRC | х | | | | | | |
| Gaya Ibrahim | IITA | PostDoc fellow GENDER | Nigeria | | | | | | | х |
| David Obisesan | IITA/ Humidtropics | M&E Officer | Nigeria | х | | | | | | х |
| Wendy Godek | IITA/ Humidtropics | CAC gender scientist | CAC | х | | | | | | |
| IITA Leadership / Board of Trustees | | | | | | | | | | |
| Ylva Hillbur | IITA | DDG-R4D | Nigeria | х | | | х | | х | |
| Hilde Koper | IITA | Project Administration Office | Nigeria | х | | | | | х | |



Annex 7.4.2: Humidtropics Researchers and other Partners Interviewed

| List of People Interacted with via KIIs, FGDs & Site Visits | | | | | | | | | | | | | |
|---|------------------------------|-------|-------------|----------|----------------|------|----------|-------|--------|------|--|--|--|
| Name | Institutional affiliation | Title | Location | | CCE | ΞMe | etho | b | Ger | nder | | | |
| | | | | | KII (skype) | ail) | FGD | other | Female | Male | | | |
| AVRDC | | | | | | | | | | | | | |
| Researchers | | | | | | | | | | | | | |
| Pepijn | AVRDC | | Thailand | | Х | | | | | | | | |
| Schreinemachers | A) (550 | | | | | | | | | | | | |
| To Thi Thu Ha | AVRDC | | | | | Х | | | Х | | | | |
| IITA Researchers | . | | | | | | | | | | | | |
| Stefan Hauser | IITA | | Nigeria | Х | | | | | | Х | | | |
| Marc Schut | IITA | | | | Х | | | | | Х | | | |
| Christine Kreye | IITA | | Nigeria | Х | | | | | Х | | | | |
| Emmanuel Njukwe | IITA | | ECA | | | | Х | | | Х | | | |
| Bioversity | | | | | | | | | | | | | |
| Researchers | . | | <u></u> | | | | | | | | | | |
| Jessica Raneri | Bioversity | | СМ | | | Х | | | Х | | | | |
| ICRAF Researchers | | | | | | | | | | | | | |
| Jim Hammond | | | | | | Х | | | | | | | |
| IWMI Researchers | | | | | | | | | | | | | |
| Timothy Olalekan | | | WA | Х | | | | | | Х | | | |
| Williams | | | | | | | | | | | | | |
| CIAT Researchers | | | | | | | | | | | | | |
| Falguni Guharay | CIAT | | CAC | Х | | | | | ļ | Х | | | |
| Diego Valbuena | CIAT | | CAC | Х | | | | | | Х | | | |
| Pablo Siles | CIAT | | CAC | Х | | | | | | Х | | | |
| Martin Mena | CIAT | | CAC | | | | Х | | | Х | | | |
| Nelson Mango | CIAT | | ECA | Х | | | | | | Х | | | |
| Tassilo Tieman | CIAT | | СМ | | Х | | | | | Х | | | |
| Adrian Bollinger | CIAT | | СМ | | | Х | | | | Х | | | |
| Michael Peters | CIAT | | CAC | Х | | | | | | Х | | | |
| ILRI Researchers | | | | | | | | | | | | | |
| Steve Staal | ILRI | | | | Х | | | | | Х | | | |
| Augustine Ayantunde | ILRI | | | | Х | | | | | Х | | | |
| | ILRI | | ECA | Х | Х | | | | | Х | | | |
| WUR Researchers | | | | | | | | | | | | | |
| Marijn Poortvliet | WUR | | Netherlands | | Х | | | | | Х | | | |
| FARA Researchers | | | | | | | | | | | | | |
| Desiré Kagabo | | | Rwanda | Х | | | | | | Х | | | |
| Oluwole Fatunbi | | | Nigeria | | Х | | | | | | | | |
| CIP Researchers | | | Ĭ | | | | | | | | | | |
| Dieudonne | | | Ethiopia | | Х | | | | | Х | | | |
| Harahagazwe | | | | | | | | | | | | | |
| icipe Researchers | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| Other Research | | | | | | | | | | | | | |
| Partners | | | | | | X | | | | X | | | |
| Dr. Hoi Pham | CARES | | Vietnam | <u> </u> | | X | | | | Х | | | |
| Ms. Chau Thi Minh | Western Highlands | | Vietnam | | | Х | | | Х | | | | |
| Long | Agriculture and | | | | | | | | | | | | |
| Dr. Xundong Li | Forestry Science YAAS | | China | | | Х | | | | Х | | | |
| | 175 | | Crima | - | | ~ | <u> </u> | | | ^ | | | |
| | | | | | | | | | | | | | |



| Annex 7.4.3: Humidtropics | Action Site | Stakeholders | Interviewed - | Nigeria |
|---------------------------|-------------|--------------|---------------|---------|
| | | | | |

| | List of People | Interacted with via KII | s, FGDs & Site | e Visi | ts | | | | | |
|-----------------------------|--------------------------------|---|----------------|--------------------|----------------|-------------|-----|-------|--------|------|
| Name | Institutional affiliation | Title | Location | | Gender | | | | | |
| | | | | KII (in person) | KII (skvpe) | KII (email) | FGD | other | Female | Male |
| Action Site Stakeholders | | | | | | | | | | |
| Aphrodite J. Oti | OFFER Centre | Administrator | Nigeria | | | | Х | | Х | |
| Adeolu B. Ayanwale | OAU/FARA | Plantain IP facilitator | Nigeria | | | | Х | | | Х |
| Olajumoke Iromini | OAU/ Humidtropics | MSc Student | Nigeria | | | | Х | | Х | |
| llahu Kareem | | Cocoa and food crops Farmer | Nigeria | | | | Х | | | Х |
| Amujoyegbe B.J. | OAU | Nigeria R4D Platform facilitator | Nigeria | | | | X | | | X |
| Benjamin Idowu | | Cocoa and food crops Farmer | Nigeria | | | | Х | | | X |
| Adio Bode | | Cocoa Farmer/Input Dealer | Nigeria | | | | Х | | | X |
| Asafa Samuel | | Cocoa and food crops Farmer | Nigeria | | | | Х | | | Х |
| Rasaki Buhari | | Cocoa and food crops Farmer | Nigeria | | | | Х | | | Х |
| Kamilu Kudirat | | Cocoa and food crops Farmer | Nigeria | | | | X | | Х | |
| Suarao Akeyo | | Cocoa and food crops Farmer | Nigeria | | | | X | | Х | |
| Michael Okunola Idowu | | Cocoa, Food Crop Farmer &Trader | Nigeria | | | | Х | | | Х |
| Suruola Timmisiyu | | Cocoa & Food Crop Trader | Nigeria | | | | х | | х | |
| Akinkunmi Isaiah | | Piggery, Fish, Cocoa &Cassava Farmer | Nigeria | | | | Х | | | Х |
| Tumo Olubayo O. | Oyo ADP | Lagbedu Facilitator | Nigeria | | | | Х | | | Х |
| Adewusi Elkanah | Cocoa & Cassava | | Nigeria | | | | Х | | | Х |
| Adesoji | Farmers' Group | | | | | | | | | |
| Kehinde Kareem | | Cocoa & Cassava Farmer | Nigeria | | | | Х | | | X |
| Raphael Idowu | | Cocoa and food crops Farmer | Nigeria | | | | Х | | | Х |
| Rasaki Ishola | | Cocoa, Plantain & Cassava Farmer | Nigeria | | | | х | | | Х |
| Oyewale Abayomi Yusat | OAU/ Humidtropics | MSc Student | Nigeria | | | | × | | | X |
| Ajibola O.V | OAU/ Humidtropics | MSc Student | Nigeria | | | | Х | | | Х |
| Ajibade Rasaq Ola | OAU | Entomologist | Nigeria | | | | Х | | | Х |
| Suli Ganiyu | | Cocoa & Food Crop Farmer | Nigeria | | | | Х | | | Х |
| Liadi Tahiru | | Cocoa & Food Crop Farmer | Nigeria | | | | Х | | | Х |
| Lateef Wasiu | | Cocoa & Food Crop Farmer | Nigeria | | | | Х | | | Х |
| Akin Agunbiade | ECOWAS Farmers Organisation | | Nigeria | | | | Х | | | х |
| Udeme Oti | OFFER Center | | Nigeria | | | | Х | 1 | Х | |
| Basaru Atanda | | Cocoa and Food Crops Farmer | Nigeria | | | | X | | | Х |



| | List of People | Interacted with via K | lls, FGDs & Site | e Visit | ts | | | | | |
|------------------------------|------------------------------|----------------------------|------------------|--------------------|----------------|-------------|------|-------|--------|------|
| Name | Institutional affiliation | Title | Location | | CCEE | | etho | 3 | Ger | der |
| | | | | KII (in person) | KII (skype) | KII (email) | FGD | other | Female | Male |
| Action Site Stakeholders | | | | | | | | , | | |
| Junior Roda | | Farmer | Nicaragua | | | | Х | | | Х |
| Javier Loza | | Farmer | Nicaragua | | | | Х | | | Х |
| Orlando Tellez | CIAT | Field assistant | Nicaragua | | | | Х | | | Х |
| Antonio Roda | | Farmer | Nicaragua | | | | Х | | | Х |
| Zadiel Zosa | UCATSE | Student | Nicaragua | | | | Х | | | |
| Maria Isabel Romero | UCATSE | Student | Nicaragua | | | | Х | | Х | |
| Prospero Calero | | Farmer | Nicaragua | | | | Х | | | Х |
| Mario Olivas | INTA | Technician | Nicaragua | | | | Х | | | Х |
| Isidro Lira | | Farmer | Nicaragua | | | | Х | | | Х |
| Genaro Ruiz | | Farmer | Nicaragua | | | | Х | | | Х |
| Daniel Rugama | | Farmer | Nicaragua | | | | Х | | | Х |
| Alexander Benavidez | INTA | Regional head livestock | Nicaragua | | | | Х | | | Х |
| Evenor Lira | | Farmer | Nicaragua | | | | Х | | | |
| Serapio Molinares | | Farmer | Nicaragua | | | | Х | | | Х |
| Felix Picado | INTA | Technician | Nicaragua | | | | Х | | | Х |
| Reynaldo Bismarck Mendoza | UNA | Soils researcher | Nicaragua | | | | Х | | | Х |
| Raul Diaz | ASDENIC | | Nicaragua | | | | Х | | | Х |
| David Zarantes | ASDENIC | | Nicaragua | | | | Х | | | Х |
| Marcos Alaes | OCTUPAN | | Nicaragua | | | | Х | | | Х |
| Luis Olivas | UNAG-Estelí | | Nicaragua | | | | Х | | | Х |
| Sebastiana Murillo | FEM | | Nicaragua | 1 | | | Х | | Х | |
| Ima Alfaro | FEM | | Nicaragua | | | | Х | | Х | |



Annex 7.4.5: Humidtropics Action Site Stakeholders Interviewed - Uganda

| | List of People Inte | eracted with via | KIIS, FGDS & Site | e visi | ιs | | | | 1 | | | |
|-------------------------------------|------------------------------------|------------------|-------------------|-------------|---------------|----------|----------|-------|----------|------------|--|--|
| Name | Institutional affiliation | Title | Location | CCEE Method | | | | | | Gender | | |
| | | | | 1 | KI (skvpe) | ail) | FGD | other | Female | Male | | |
| Action Site | | | | X 8 | 20 | | <u> </u> | of | Ľ. | 2 | | |
| Stakeholders UGANDA SITE | | | | | | | | | | - | | |
| VISITS | | | | | | | | | | | | |
| Awori Moureen | IITA | | Uganda | | | | × | | Х | | | |
| Okia Clement | | | Uganda | - | | | X | | | X | | |
| Dieuwke Lamers Rebbecca | IITA Wakiso DLG | | Uganda Uganda | - | | | X | | × | × | | |
| Ssabaganzi | Wakiso DEG | | Oganua | | | | ^ | | ^ | | | |
| Chris Ökafor | IITA | | Uganda | | | | × | | | X | | |
| Moses Tenywa | Makerere University | | Uganda | | | | × | | | X | | |
| Okalebo Moses | | | Uganda | _ | | | X | | | × | | |
| Nakabugo Beckie Kasajja Alex | Youth Agriprenuer UYA | | Uganda Uganda | - | | | X X | | × | × | | |
| Anna Sole Anat | IITA | | Uganda | - | | | x | | × | \uparrow | | |
| Slyvia Namazzi | AVRDC | | Uganda | | | | × | | X | | | |
| Peter Lusembo | NARO MUZARDI | | Uganda | | | | × | | | X | | |
| Wandera James | NGO Forum | | Uganda | | | | × | | | Х | | |
| Mubbalya Crespo | VEDCO | | Uganda | | | | X | | | X | | |
| Eric Koper Bamutiire Wille | Humidtropic/IITA Wakiso Farmers | | Uganda Uganda | | | | X X | | | X | | |
| Seppie | Vvakiso Farmers Forum | | oganda | | | | ^ | | | ^ | | |
| Pamela N Kabod | | | Uganda | | | | X | | | X | | |
| Mary Nanyanzi | UCU | | Uganda | | | | × | | X | | | |
| John Livingstone | UCU | | Uganda | | | | × | | | × | | |
| Mulyaba Marc Schut | WUR/IITA | | Uganda | × | | | × | | | × | | |
| Mutalyo Joseph | Ssaza Kyaggwe | | Uganda | ^ | | | x | | | Â | | |
| Kigoonya Augustine | UYA | | Uganda | | | | × | | 1 | X | | |
| Rwankore Derrick | Mukono | | Uganda | | | | × | | | X | | |
| Dr.Mukulu Fred | Mukono DLG | | Uganda | | | | × | | | Х | | |
| Kiboga-Kyankwanzi | | | | | | | | | | | | |
| IP Salwanga Said | Farmer | | Llaanda | - | | | × | | | × | | |
| Nansiimo Erios | C.P Farmer | | Uganda Uganda | - | | | x | | | Â | | |
| Birimumaaso John | Farmer | | Uganda | | | | × | | 1 | X | | |
| Nteemu Milton | Farmer | | Uganda | | | | × | | | X | | |
| Walyendo A.S | Secretary | | Uganda | | | | × | | | Х | | |
| Sekimpi Wycliffe | Member | | Uganda | | | | × | | | × | | |
| Mutambanzi .A | Farmer | | Uganda | - | | | X | | | X | | |
| Kasoli Saiman Sebanoba Ronald | Farmer Farmer | | Uganda Uganda | - | | | X X | | | X | | |
| Kabwangu | Farmer | | Uganda | - | | | × | | | x | | |
| Nakisitu Harriet | Farmer | | Uganda | 1 | 1 | | X | | X | | | |
| Katusabe Irene | farmer | | Uganda | | 1 | | × | | × | | | |
| Namukisa Agnes | Farmer | | Uganda | | | | X | | × | | | |
| Namuyunga Tamale | Farmer | | Uganda | | | | × | | х | | | |
| Nalulya Teddy | Farmer | | Uganda | _ | - | | X X | | X | <u> </u> | | |
| Nakabugo .A Tamale M | Farmer Vice C/P | | Uganda Uganda | - | | - | × | | <u>^</u> | × | | |
| Kitaka Mayanja | PUB/Secretary | | Uganda | - | 1 | | X | | | × | | |
| Ssebulime Godfrey | DAO | | Uganda | | | | X | | | X | | |
| Ssali Jonathan | Ag SAS | | Uganda | | | | × | | | X | | |
| Byakatonda Tito | Councillor | | Uganda | | | | X | | | × | | |
| Nanyange Elizabeth | Farmer | | Uganda | | | | X | | X | | | |
| Nakamya Efrance Winfred Ssebbowa | Farmer Village Agent Kasooli | | Uganda Uganda | | | | X X | | X X | | | |
| Mukamalita Consiria | Village Agent Kasooli Farmer | | Uganda Uganda | | | | X | | X | | | |
| Frida Kirunda | Farmer | | Uganda | | | | X | | X | | | |
| Norah Babumba | WOMEN D/C | | Uganda | | | | X | | Х | | | |
| Margret Musaazi | Farmer | | Uganda | | | | × | | Х | | | |
| Nabongho Yakub | Chairman | | Uganda | | | | X | | | X | | |
| Orom Emmanuel | LC 3 Chairman | | Uganda | | <u> </u> | <u> </u> | × | | - | × | | |
| Babumba Joseph Nakuburwa Sarah | Head Teacher Farmer | | Uganda Uganda | | | | X X | | × | × | | |
| Mutebi Ronald | Farmer | | Uganda | | | - | x | | | × | | |
| kisirisa Milly | Farmer | | Uganda | | | | X | | × | | | |
| Najjemba Ann Maria | farmer/Treasurer | | Uganda | | | | Х | | Х | | | |
| Gwaliwa Christine | DIS C/P Wealth of Eden CBO | | Uganda | | | | × | | × | | | |
| Basiita Richard | Soybean Co | | Uganda | | | | × | 1 | 1 | × | | |
| Bolton | oordinator | | | | <u> </u> | <u> </u> | | | | | | |
| Moureen Awuri Mwasigwa Kappady | Consultant | | Uganda | | - | | × | | × | | | |
| Mwesigwa Kennedy Anna Sole Anat | Researcher Consultant | | Uganda Uganda | | | | X X | | × | × | | |
| Chris Okafor | ECA Flagship | | Uganda | | | | x | | | × | | |
| | Manager | | - 32.124 | | | | | | | | | |
| Moses Tenywa | Action Site | | Uganda | | | | Х | | | × | | |
| Perez Muchunguzi | Action Site | | Uganda | | | | Х | | | X | | |
| Marc Schut | Researcher | | Uganda | | | | X | | | X | | |



Annex 7.4.6: Humidtropics Action Site Stakeholders Interviewed - Rwanda

| | List of People Into | | | T | | | | | <u> </u> | _ |
|---------------------------------|------------------------------|-------|-----------|--------------------|----------------|-------------|-----|-------|----------|------|
| Name | Institutional affiliation | Title | Location | CCEE Method | | | | Ger | nder | |
| | | | | KII (in person) | KII (skype) | KII (email) | FGD | other | Female | Male |
| Action Site | | | | | | | | | | |
| Stakeholders | | | | | | | | | | |
| RWANDA SITE VISITS | | | | | | | | | | |
| Rugerero Joseph Desire | RAB | | Rwanda | | | | Х | | | Х |
| Charles Kajanziki | University of | | Rwanda | | | | Х | | | Х |
| Joseph Garanga | IMBARGA famers | | Rwanda | | | | Х | | | Х |
| Felix Nzeyimana | RAB | | Rwanda | | | | Х | | İ. | Х |
| Athanese | ICRAF | | Rwanda | | | | X | | | X |
| Mukuralinda | | | | | | | | | | |
| Beatrice Glesa (Bioversity) | Bioversity | | Rwanda | | | | Х | | Х | |
| (210101010)) | | | Rwanda | | | | | | | |
| | | | Rwanda | | | | | | | |
| Kadahenda IP | | | Rwanda | | | | | | | |
| Rugerero Joseph Desire | | | Rwanda | | | | х | | | |
| Noheri Theomeste | | | Rwanda | | | | Х | | İ. | |
| Nsengimana Jean Defieu | | | Rwanda | | | | X | | | |
| Tunowukwere | | | Rwanda | | | | Х | | | |
| Nyiramuzoro Ziripa | | | Rwanda | | | | X | | İ. | |
| Kankundiye Hirarie | | | Rwanda | | | | X | | | |
| Kamanzi Celestia | | | Rwanda | | | | X | | | |
| Runyakwambi Jean Basptiste | | | Rwanda | | | | X | | | |
| Yankurije Theogeine | | | Rwanda | | | | Х | | | |
| Habiolana | | | Rwanda | | | | X | | | |
| Bonowemtuze | | | i (Walida | | | | | | | |
| Karangwa Thiwnoteo | | | Rwanda | | | | Х | | İ. | |
| Nisgororano Eudie | | | Rwanda | | | | Х | | İ. | |
| Uwamungu Cesar | | | Rwanda | | | | Х | | 1 | |
| Ndarifitie Emmauli | | | Rwanda | | | | Х | | | |
| Umamahoro Oriua | | | Rwanda | | | | Х | | 1 | |
| Uwwokeli Bernard | | | Rwanda | | | | Х | | 1 | |
| Niyitegeka Gilbert | | | Rwanda | | | | Х | | | |
| Nzemwehimona Anne Marie | | | Rwanda | | | | Х | | | |
| Ntamuhanga Innocent | | | Rwanda | 1 | | | х | | | |
| Kauero Emmanuel | <u>├</u> | | Rwanda | | | | Х | | | |
| Niyitegeka Jean De | | | Rwanda | | | | X | | | |
| Dieu Nukawgwiga | <u> </u> | | Rwanda | | | | X | | | |
| Chinance Yad Faihife | <u>├</u> ──── | | Rwanda | | | | X | | | |
| Josephine Jusabewaniya Agnes | | | | | | | | | X | |



Annex 7.4.7: Humidtropics Action Site Stakeholders Interviewed - DRC

| List of People Interacted with via KIIs, FGDs & Site Visits | | | | | | | | | | |
|---|-------------------|-------|----------|--------------------|----------------|-------------|-----|-------|--------|------|
| | Institutional | | | CCEE Method | | | | | | _ |
| Name | affiliation | Title | Location | | CCEI | _ | tho | | Ger | nder |
| | | | | KII (in person) | KII (skype) | KII (email) | FGD | other | Female | Male |
| DRC SITE VISITS | | | | ~ ~ | 4 | 4 | 4 | 0 | | < |
| Musungayi Tshitebwa | INERA | | DRC | | | | Х | | | Х |
| Nono Mwavita | Comite R4D SARCAF | | DRC | | | | Х | | | Х |
| Paulia Njingulula | INERA | | DRC | | | | Х | | Х | |
| Jean Pierre Bahati | ADVS | | DRC | | | | Х | | | Х |
| Omanuel Bahati | Afroprofocus | | DRC | | | | Х | | | Х |
| Sebastion Lubala | PAD | | DRC | | | | Х | | | Х |
| Jeame Lukanga | ACOSYF | | DRC | | | | Х | | | Х |
| Ayoyeirwe Rodrigue | UEA | | DRC | | | | Х | | | Х |
| Rehani Jumaine | IITA | | DRC | | | | Х | | Х | |
| Noel Mulinganya | IKYA | | DRC | | | | Х | | | Х |
| Julie Lunzihirwa | IITA | | DRC | | | | Х | | Х | |
| Sylvain Mapatano | DWBASS | | DRC | | | | Х | | | Х |
| Leon Nabahungi | IITA | | DRC | | | | Х | | | Х |
| Elisee Mudwasiga | CIAT/HT | | DRC | | | | Х | | Х | |
| Paul Dontsop | IITA | | DRC | | | | Х | | | х |
| Rachel Zozo | IITA | | DRC | | | | Х | | Х | |
| Byakombe Jonathan Twanzi | SENASON | | DRC | | | | Х | | | Х |
| kijana Ruhebuza Noey | INERA | | DRC | | | | Х | | | Х |
| Katunga Musale | INERA | | DRC | | | | Х | | | Х |
| Charles Bisimwa | IITA | | DRC | | | | Х | | | х |
| Bonane Balezi | IP/MUSHINGA | | DRC | | | | Х | | | Х |
| Flemmine Nieigen | IITA | | DRC | | | | Х | | | Х |
| Kissa Sinweray | Phue Lobiko | | DRC | | | | Х | | | Х |
| Isaac Balume | IITA | | DRC | | | | Х | | | Х |
| Simbeko Sadi Guy | IITA/H.T | | DRC | 1 | | | Х | | | Х |
| Muhabao Mikaba Claude | IITA/H.T | | DRC | | | | Х | | | Х |
| Paulin Bwira Hubert | IITA/H.T | | DRC | 1 | | | Х | | | Х |
| Despines Bamulere | IITA | | DRC | | | | Х | | | Х |
| Jeanmarie Sandinga | IITA | | DRC | 1 | | | Х | | Х | |



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- 30. Humidtropics Capacity Development Workshop, 2014. Understanding, Facilitating and Monitoring Agricultural Innovation Processes
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- 32. Ken E. Giller, 2013, farming systems
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- 35. LINK methodology, 2012. A participatory guide to business models that link smallholders to markets/ Mark Lundy, Gertjan Becx, Nancy Zamierowski, Alexandra Amrein, Jhon Jairo Hurtado, Erika Eliana Mosquera, Fernando Rodríguez (CIAT)
- 36. Nigeria Action Site Report, April 2014
- 37. Presentation by Richard Thomas on "Value proposition for systems research", May 2015
- 38. Rapid Appraisal of Agricultural Innovation Systems (RAAIS) workshops, Action Site workshop protocol and facilitation guide, 2014
- 39. S. Vellema, D. Nakimbugwe and D. Mwesige, The Ugandan Oilseed Sub-sector Platform
- 40. Site selection guidance for Humidtropics, 2014
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Annex 8: Team Short Biographies

Rosern K. Rwampororo, Ph.D.: Evaluation Team Leader

Dr. Rosern Rwampororo has a Ph.D. in Development Sociology with Agricultural Economics and Program Evaluation from Cornell University, Ithaca, New York (January 2001). She has twenty years of working experience in policy and economic analysis on issues pertaining to African development. She also has extensive program management, monitoring, development evaluation, needs and impact assessments skills geared towards poverty reduction. She has worked as an Evaluation Advisor and/or Consultant with international development agencies such as the World Bank, the United Nations Development Programme (UNDP), United Nations Capital Development Fund (UNCDF), the U.S. Agency for International Development (USAID), and the Bill and Melinda Gates Foundation (BMGF). Her country experience spans most of Africa and includes but is not limited to the following: Uganda, Kenya, Tanzania, Ethiopia, Zambia, Zimbabwe, South Africa, South Sudan, Ghana, Nigeria, and Malawi. She has also worked/studied in other countries such as the USA, United Kingdom, Mexico, and France. From 2001-2003, she served as an Evaluation Advisor to the Evaluation Units of UNDP and UNCDF. From 2003 to 2006, she served as the Chief of Party for a USAID-funded project in Uganda, the Monitoring and Evaluation Services (MEMS), implemented by Management Systems International (MSI), Washington, D.C. From 2006 - 2008, she served as UNDP's Monitoring & Evaluation Technical Advisor to develop the National Monitoring and Evaluation System for the Government of Malawi. From 2009 – to June 2011, she served as the Chief of Party for similar USAID-funded projects, the Tanzania Monitoring and Evaluation Management Services (TMEMS) and the Ethiopia Performance Management Systems (EPMS) project from 2012 to 2014. From June 2014 to date, she started working fulltime as the President & Founder of her own development consulting firm; i-Train & Evaluate Center (i-TEC), which was founded in 2008. Details on i-TEC can be accessed at: www.evaltrain.com.

Christine Negra, Ph.D: Evaluator, Sustainable Intensification

Dr. Christine Negra is a consultant in international agricultural development, with a particular emphasis on integrated landscape management and food security in the context of climate change. She is a soil chemist by training, with a PhD from the University of Vermont, and has over 20 years of experience as an Extension agent, a researcher, and a Program director. Dr Negra has provided strategic guidance through leadership roles with EcoAgriculture Partners and the Heinz Center for Science, Economics and the Environment. Her recent publications explore issues in climate-smart agriculture, integrated research systems, private sector engagement, and policy development. She has delivered numerous multi-disciplinary projects, including evaluation design and implementation, in partnership with a diverse set of international research, philanthropic, and finance organizations including:

- The Climate Bonds Initiative
- The World Bank
- The Dutch Sustainable Trade Initiative
- The International Finance Corporation
- The World Agroforestry Center
- CGIAR Climate Change, Agriculture and Food Security Program, CCAFS
- The Commonwealth Scientific and Industrial Research Organisation, CSIRO
- Oak Ridge National Labs
- The US Environmental Protection Agency

Eric Kueneman, PhD.: Evaluator, Institutional Innovation

Dr. Eric Kueneman's experience encompasses over 60 countries worldwide and he has published widely on plant breeding and applied agronomy. As Director of Kueneman Consultancy (KC), Dr. Kueneman contributes to the interface of global agriculture, food systems, and the environment. KC infuses broad experience in international development,



plant breeding, crop production, and protection to provide specific advice to development institutions on formulating, implementing, and evaluating models for good agricultural practices. Recent consultancies include:

- The Bill and Melinda Gates Foundation
- The Clinton Foundation Global Development Initiative (CDI)
- International Institute for Tropical Agriculture (IITA)
- United States Agency for International Development (USAID)
- Centro Internacional de Agricultura Tropical (CIAT)
- International Fund for Agricultural Development (IFAD)
- United Nations Food and Agriculture Organization (FAO)
- Cornell University
- Empresa Brasileira de Pesquisa Agropecuaria (Brazilian Agricultural Research Corporation)
- California Conservation Agriculture Systems Innovation Center (CASI)
- Centro Internacional de Papa (International Potato Research Center)

Prior to his work at KC, Dr. Kueneman served a 23-year career in the United Nations Food and Agriculture Organization (UN FAO), where he directed support to governments on crop production and protection and served as an emissary in negotiations with ministerial policy makers and donors in relation to sustainable agricultural development. He retired from his appointment as Deputy Director of the FAO Crop Protection and Protection Division in April, 2010. He earned his PhD. from Cornell University in Plant Breeding and International Agriculture and he received his Bachelor of Science from the University of Idaho.



Annex 9.0: Humidtropics Published Papers and Book Chapters

| _ 1 | Title | Journal/Book | Link | Thomson status 👻 | Impact Factor (201 - | JOURNAL | YEAR 👻 |
|------------|--|--|---|---------------------|-------------------------|---|--------|
| 1 | Cocoa agroforestry for increasing forest connectivity in a fragmented landscape in Ghana | Agroforestry Systems 88 (6): 1143-1156 | http://link.springer.com/article/10.1007/s10457-014-9688-3 | Yes | 1.22 | Agroforestry Systems | 2014 |
| 2 | Land use intensification, commercialization and changes in pest management of smallholder upland agriculture in Thailand | Environmental Science & Policy 45 (2014), 11-19 | http://www.sciencedirect.com/science/article/pii/S14629011140 01750 | Yes | 3.02 | Environmental Science & Policy | 2015 |
| 3 | Analysis of Vegetable Seed Systems and Implications for Vegetable Development in the Humid Tropics of Ethiopia | International Journal of Agriculture and Forestry 4(4): 325-337 | http://article.sapub.org/10.5923.j.ijaf.20140404.10.html | No | - | International Journal of Agriculture and Forestry | 2014 |
| 4 | Characterizing feeds and feed availability in Sud-Kivu province, DR Congo | Tropical Grasslands - Forrajes Tropicales (2014) Volume 2, 9-11 | http://www.tropicalgrasslands.info/index.php/tgft/article/view/112 | No | - | Tropical Grasslands - Forrajes Tropicales | 2014 |
| 5 | Farmers in Côte d'Ivoire value integrating tree diversity in cocoa for the provision of ecosystem services | Agroforestry Systems (2014) 88:1047–1066DOI 10.1007/ s10457- 014-9679-4 | http://link.springer.com/article/10.1007%2Fs10457-014-9679-4 | Yes | 1.22 | Agroforestry Systems | 2014 |
| | Greater diurnal temperature difference, an overlooked but important climatic driver of rubber yield | Industrial Crops and Products 62 (2014) 14-21 | http://www.sciencedirect.com/science/article/pii/S0926669014004816 | Yes | 2.84 | Industrial Crops and Products | 2014 |
| | Investigation of rubber seed yield in Xishuangbanna and estimation of rubber seed oil based biodiesel potential in Southeast Asia | Energy 69, 837-842 | http://www.sciencedirect.com/science/article/pii/S0360544214003430 | Yes | 4.84 | Energy | 2014 |
| | Agronomic survey to assess crop yield, controlling factors and management implications: a case- study of Babati in northern Tanzania | Nutrient Cycling in Agroecosystems, 102, Issue 1, 5-16 DOI, 10.1007/s10705-014-9648-3 | http://link.springer.com/article/10.1007%2Fs10705-014-9648-3 | Yes | 1.90 | Nutrient Cycling in Agroecosystems | 2014 |
| | Agricultural innovation platforms in West Africa: How does strategic institutional entrepreneurship unfold in different value chain contexts? | Outlook on Agriculture, Volume 43, Number 3, 193-200(8) DOI: http://dx.doi.org/10.5367/oa.2014.0178 | http://www.ingentaconnect.com/content/ip/ooa/2014/00000043/0000003/art0000 8 | Yes | 0.48 | Outlook on Agriculture | 2014 |
| | Analysis of trade-offs in agricultural systems: Current status and way forward | Current Opinion in Environmental Sustainability 6: 110-115 doi:10.1016/j.cosust.2013.11.012 | http://www.sciencedirect.com/science/article/pii/S1877343513001607 | Yes | 3.49 | Current Opinion in Environmental Sustainability | 2014 |
| | Farm household modelling and its role in designing climate-resilient agricultural systems | Global Food Security Volume 3, Issue 2, 77–84, doi:10.1016/j.gfs.2014.05.001 | http://www.sciencedirect.com/science/article/pii/S2211912414000133 | No | - | Global Food Security | 2014 |
| | From global economic modelling to household level analyses of food security and sustainability: how big is the gap and can we bridge it? | Food Policy Volume 49, Part 2, 378–388 doi:10.1016/j.foodpol.2014.10.003 | http://www.sciencedirect.com/science/article/pii/S0306919214001456# | Yes | 1.80 | Food Policy | 2014 |
| | Mapping the economic benefits to livestock keepers from intervening against bovine trypanosomosis in Eastern Africa | Preventive Veterinary Medicine 113(2):197-210. doi: 10.1016/j.prevetmed.2013.10.024 | http://www.ncbi.nlm.nih.gov/pubmed/24275205 | Yes | 2.17 | Preventive Veterinary Medicine | 2014 |
| | Predicting the risk of avian influenza A H7N9 infection in live-poultry markets across Asia | Nature Communications 5, Article number: 4116, doi: 10.1038/ncomms5116 | http://www.nature.com/ncomms/2014/14061//ncomms5116/tull/ncomms5116.ht ml | Yes | 11.47 | Nature Communications | 2014 |
| | Mapping the global distribution of livestock | PLoS ONE Doi: 10.1371/journal.pone.0096084 | http://journals.plos.org/plosone/article?id=10.1371/journal.pone.0096084 | Yes | 3.23 | PLoS ONE | 2014 |
| | Regional nitrogen budget of the Lake Victoria Basin, East Africa: syntheses, uncertainties and perspectives | Environmental Research Letters doi: 10.1088/1748- 9326/9/10/105009 | http://iopscience.iop.org/1748-9326/9/10/105009/article | Yes | 3.91 | Environmental Research Letters | 2014 |
| | The 'One cow per poor family' programme: Current and potential fodder availability within smallholder farming systems in southwest Rwanda | Agricultural Systems 131: 11–22 | http://www.sciencedirect.com/science/article/pii/S0308521X14000924 | Yes | | Agricultural Systems | 2014 |
| | Modeling fuel choice among households in northern Cameroon | UNU-WIDER Working Paper, 2014/038 | http://www.wider.unu.edu/publications/working-papers/2014/en_GB/wp2014-038/ | No | - | UNU-WIDER Working Paper | 2014 |
| | Financial drivers of land use decisions: The case of smallholder woodlots in Amhara, Ethiopia | Land Use Policy 41: 474-483 | http://www.sciencedirect.com/science/article/pii/S026483771400132X | Yes | 2.63 | Land Use Policy | 2014 |
| | Importance of socio-economic factors in the collection of NTFPs: The case of gum arabic in Kenya | Forest Policy and Economics, 42: 24-29 | http://www.sciencedirect.com/science/article/pii/S1389934114000355 | Yes | 1.86 | Forest Policy and Economics | 2014 |
| | Production and scale efficiency of maize farming households in South-Western, Nigeria | International Journal of Social Economics 41(11) | http://www.emeraldinsight.com/doi/abs/10.1108/IJSE-12-2012-0260 | No | - | International Journal of Social Economics | 2014 |
| | Balancing Skilled with Unskilled Migration in an Urbanizing Agricultural Economy | World Development 66: 457-467 | http://www.sciencedirect.com/science/article/pii/S0305750X14002800 http://www.sciencedirect.com/science/article/pii/S02612194130 | Yes | 1.97 World Development | | 2015 |
| | Systems approaches to innovation in crop protection. A systematic literature review. | Crop Protection, Volume 56, February 2014: 98–108 Evaluation 2015, Vol. 21(1) 99–115 DOI: | 02950 | Yes | 1.49 | Crop Protection | 2014 |
| | The need for reflexive evaluation approaches in development cooperation | 10.1177/1356389014564719 Challenges and Opportunities for Agricultural Intensification of the | http://evi.sagepub.com/content/21/1/99.abstract | Yes | 1.91 | Evaluation | 2015 |
| | Book chapter: ISFM Adaptation Trials: Farmer-to Farmer Facilitation, Farmer-Led Data Collection, Technology Learning and Uptake | Humid Highland Systems of Sub-Saharan Africa 2014, pp 385-397 DOI 10.1007/978-3-319-07662-1_29 | Book: http://link.springer.com/chapter/10.1007/978-3-319- 07662-1_29 | No | - | BOOK/BOOK CHAPTER | 2014 |
| | Benefits of trypanosomosis control in the Hom of Africa. In: Allas of African agriculture, research and development. (K. Sebastian. ed.). Washington DC: International Food Policy Research Institute. pp 62:64. | Atlas of African agriculture, research and development | http://www.ifpri.org/publication/atlas-african-agriculture- research-development_ | No | - | OTHER | 2015 |
| | Ruminant livestock. In: Atlas of African agriculture, research and development. (K. Sebastian. ed.). Washington DC: International Food Policy Research Institute. pp 26-27. | Atlas of African agriculture, research and development | http://www.ifpri.org/publication/atlas-african-agriculture- research-development | No | - | OTHER | 2014 |
| | Technical innovations for small-scale producers and households to process wet cassava peels into high quality animal feed ingredients and Aflasafe™ substrate | Food Chain Vol. 5 No. 1–2 DOI: 10.3362/2046-1887.2015.005 | http://www.developmentbookshelf.com/doi/10.3362/2046- 1887.2015.005 | No | - | Food Chain | 2015 |
| | Exclusion of Soil Macrofauna did not Affect Soil Quality but Increased Crop Yields in a Sub-Humid Tropical Maize-Based System | Agriculture, Ecosystems and Environment 208, 1 75–85 DOI: 10.1016/j.agee.2015.04.001 | http://www.sciencedirect.com/science/article/pii/S01678809150 01334 | Yes | 3.40 | Agriculture, Ecosystems & Environment | 2015 |
| | Linking Soil Erosion to Onsite Financial Cost: Lessons from Watersheds in the Blue Nile Basin | Solid Earth 6: 765-774 DOI: 10.5194/se-6-765-2015 | http://www.solid-earth.net/6/765/2015/se-6-765-2015.html | Yes | 2.27 | Solid Earth | 2015 |
| | Innovation Platforms for Agricultural Development: Evaluating the Mature Innovation Platforms Landscape (book stems from IP Case Studies Competition) | Routledge (Taylor & Francis Group) | http://www.taylorandfrancis.com/books/details/9781138181717 / | No | - | BOOK/BOOK CHAPTER | 2016 |
| | Innovation Platforms: Experiences with their institutional embedding in Agricultural Research for Development | Experimental Agriculture Oct. 2015 doi: 10.1017/S001447971500023X | <u>http://journals.cambridge.org/action/displayAbstract?fromPage=online&aid=10005625&fileId=S001447971500023X</u> | Yes | 1.08 | Experimental Agriculture | 2015 |
| | Sustainable Intensification in Smallholder Agriculture: An Integrated Systems Research Approach (book stemming from Integrated Systems Conference) | Earthscan (accepted, upcoming) | | No | - | NOT YET PUBLISHED | |
| ţ | Strengthening Conceptual Underpinning and Understanding of Integrated Systems Research for Arricultural Research for Development | TBD (in preparation) | | No | _ | NOT YET PUBLISHED | |